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A CONSTRUCTIVE APPROACH TO HIGHER HOMOTOPY OPERATIONS

DAVID BLANC, MARK W. JOHNSON, AND JAMES M. TURNER

ABSTRACT. In this paper we provide an explicit general construction of higher homotopy operations in model categories, which include classical examples such as (long) Toda brackets and (iterated) Massey products, but also cover unpointed operations not usually considered in this context. We show how such operations, thought of as obstructions to rectifying a homotopy-commutative diagram, can be defined in terms of a double induction, yielding intermediate obstructions as well.

INTRODUCTION

Secondary homotopy and cohomology operations have always played an important role in classical homotopy theory (see, e.g., [Ada, BJM, MP, PS] and later [P1, P2, Ald, MO, Sn, CW]), as well as other areas of mathematics (see [AIS, FGM, GL, Gr, SS]).

Toda's construction of what we now call Toda brackets in [T1] (cf. [T2, Ch. I]) was the first example of a secondary homotopy operation *stricto sensu*, although Adem's secondary cohomology operations and Massey's triple products in cohomology appeared at about the same time (see [Ade, Ms]).

In [Ada, Ch. 3], Adams first tried to give a general definition of secondary stable cohomology operations (see also [Ha]). Kristensen gave a description of such operations in terms of chain complexes (cf. [Kr, KK]), which was extended by Maunder and others to n -th order cohomology operations (see [Mau, Hol, K1, K2]).

Higher operations have also figured over the years in rational homotopy theory, where they are more accessible to computation (see, e.g., [Ald, Bu, Re, Ta]). In more recent years there has been a certain revival of interest in the subject, notably in algebraic contexts (see for example, [Bk, Ga, S, E, CF, HW]).

In [Sp2], Spanier gave a general theory of higher order homotopy operations (extending the definition of secondary operations given in [Sp1]). Special cases of higher order homotopy operations appeared in [Wa, K, Mo, BBG], and other general definitions may be found in [BM, BJT2].

The last two approaches cited present higher order operations as the (last) obstruction to rectifying certain homotopy-commutative diagrams (in spaces or other model categories). In particular, they highlight the special role played by null maps in almost all examples occurring in practice. Implicitly, they both assume an inductive approach to rectifying such diagrams. However, in earlier work no attempt was made to describe a useable inductive procedure, which should (inter alia) explain precisely which lower-order operations are required to vanish in order for a higher order operation to be even *defined*.

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The goal of the present note is to make explicit the inductive process underlying our earlier definitions of higher order operations, in as general a framework as possible. We hope the explicit nature of this approach will help in future work both to clarify the question of indeterminacy of the higher operations, and possibly to produce an “algebra of higher operations,” in the spirit of Toda’s original “juggling lemmas” (see [T2, Ch. I]).

An important feature of the current approach is that we assume that our indexing category is directed, and we consistently proceed in one direction in rectifying the given homotopy-commutative diagram (say, from right to left, in the “right justified” version). As a result, when we come to define the operation associated to an indexing category of length n , we use as initial data a specific choice of rectification for the right segment of length $n - 1$. This sequence of earlier choices will appear only implicitly in our description and general notation for higher operations, but will be made explicit for our (long) Toda brackets (see §1.7-4.9).

Since our higher operations appear as obstructions to rectification, they fit into the usual framework of obstruction theory: when they do not vanish, one must go back along the thread of earlier choices until reaching a point from which one can proceed along a new branch. From the point of view of the obstruction theory, the important fact is their vanishing or non-vanishing (see Remark 4.9 for the relation to coherent vanishing). Nevertheless, since our higher operations are always described as a certain set of homotopy classes of maps into a suitable pullback, at least in some cases it is possible to describe the indeterminacy more explicitly. However, this would only be a part of the total indeterminacy, since the most general obstruction to rectification consists of the union of these sets, taken over all possible choices of initial data of length $n - 1$.

After a brief discussion of the classical Toda bracket from our point of view in Section 1, in Section 2.A we describe the basic constructions we need, associated to the type of Reedy indexing categories for the diagrams we consider. The changes needed for pointed diagrams are discussed in Section 2.B. We give our general definition of higher order operations in Section 3: it is hard to relate this construction to more familiar examples, because it is intended to cover a number of different situations, and in particular the less common unpointed version. In all cases the “total higher operation” serves as an obstruction to extending a partial rectification of a homotopy-commutative diagram one further stage in the induction.

In Section 4 we provide a refinement of this obstruction to a sequence of intermediate steps (in an inner induction), culminating in the total operation for the given stage in the induction. Section 5 is devoted to a commonly occurring problem: rigidifying a (reduced) simplicial object in a model category, for which the simplicial identities hold only up to homotopy. This serves to illustrate how the general (unpointed) theory works in low dimensions.

In Section 6 we define pointed higher operations, which arise when the indexing category has designated null maps, and we want to rectify our diagram while simultaneously sending these to the strict zero map in the model category. This involves certain simplifications of the general definition, as illustrated in the motivating examples of (long) Toda brackets and Massey products, described in Section 7.

Finally, in Section 8 we make a tentative first step towards a possible “algebra of higher operations,” by showing how we can decompose our pointed higher operations into ordinary (long) Toda brackets for a certain class of *fully reduced diagrams*.

In Appendix A we review some basic facts in model categories needed in the paper; Appendix B contains some preliminary remarks on the indeterminacy of the operations.

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1. THE CLASSICAL TODA BRACKET

We start with a review of the classical Toda bracket, the primary example of a pointed secondary homotopy operation. In keeping with tradition we give a left justified description, in terms of pushouts, although for technical reasons our general approach will be right justified, in terms of pullbacks.

1.1. Left Justified Toda Brackets.

A classical *Toda diagram* in any pointed model category consists of three composable maps:

$$(1.2) \quad Y(3) \xrightarrow{h} Y(2) \xrightarrow{g} Y(1) \xrightarrow{f} Y(0)$$

with each adjacent composite left null-homotopic. We shall assume that all objects in (1.2), and the analogous diagrams throughout the paper, are both fibrant and cofibrant, so we may disregard the distinction between left and right homotopy classes). To define the associated Toda bracket, we first change h into a cofibration (to avoid excessive notation, we do not change the names of h or its target). By Lemma A.11 we can alter g within its homotopy class to a g' to produce a factorization:

$$(1.3) \quad \begin{array}{ccc} Y(3) & \xrightarrow{h} & Y(2) \\ \downarrow & & \downarrow \\ * & \xrightarrow{\quad} & \text{cof}(h) \\ \downarrow & & \downarrow \\ & & Y(1) \end{array} \begin{array}{l} \lrcorner \\ \lrcorner \\ \lrcorner \\ \lrcorner \end{array} \begin{array}{l} \\ \\ g' \\ \\ \end{array}$$

(Note: A curved arrow labeled 0 goes from $*$ to $Y(1)$, and a curved arrow labeled g' goes from $Y(2)$ to $Y(1)$.)

so $g' \circ h$ is the zero map (not just null-homotopic).

We use $i : Y(2) \hookrightarrow CY(2)$ (an inclusion into a reduced cone) to extend (1.3) to the solid diagram:

$$\begin{array}{ccccccc} Y(3) & \xrightarrow{h} & Y(2) & \xrightarrow{i} & CY(2) & & \\ \downarrow & & \downarrow & & \downarrow & & \\ * & \xrightarrow{\quad} & \text{cof}(h) & \xrightarrow{\quad} & \Sigma'Y(3) & \xrightarrow{\quad} & * \\ \downarrow & & \downarrow & & \downarrow & & \downarrow \\ & & Y(1) & \xrightarrow{i} & M_{g'} & \xrightarrow{\quad} & \text{cof}(g_2) \\ & & & & & & \downarrow \\ & & & & & & Y(0) \end{array}$$

(Note: Dashed arrows include ϕ from $CY(2)$ to $*$, ψ_ϕ from $\Sigma'Y(3)$ to $\text{cof}(g_2)$, κ from $M_{g'}$ to $Y(0)$, and f from $Y(1)$ to $Y(0)$. A curved arrow labeled g' goes from $Y(2)$ to $Y(1)$.)

where all squares (and thus all rectangles) are pushouts, with cofibrations as indicated.

In particular, $\Sigma'Y(3)$ is a model for the reduced suspension of $Y(3)$, $M_{g'}$ is a mapping cone on g' , and ϕ is a nullhomotopy for $f \circ g'$. Note that any choice of such a nullhomotopy ϕ induces maps $\psi_\phi : \Sigma'Y(3) \rightarrow Y(0)$ and $\kappa : M_{g'} \rightarrow Y(0)$, with $\kappa \circ j = \psi_\phi$. Suppose that for some choice of ϕ , the map ψ_ϕ is null-homotopic, so $\kappa \circ j = \psi_\phi \sim 0$. Then by Lemma A.11, we could alter κ within its homotopy class to κ' such that $\kappa' \circ j = 0$, whence the pushout property for the lower right square would induce the dotted map $\text{cof}(g_2) \rightarrow Y(0)$. As a consequence, choosing $f' = \kappa' \circ i \sim \kappa \circ i = f$ provides a replacement for f in the same homotopy class satisfying $f' \circ g' = \kappa' \circ i \circ g' = 0$, rather than only agreeing up to homotopy.

1.4. Definition. Given (1.2), the subset of the homotopy classes of maps $[\Sigma'Y(3), Y(0)]$ consisting of all classes ψ_ϕ (for all choices of ϕ and g_2 as above) forms the *Toda bracket* $\langle f, g, h \rangle$. Each such ψ_ϕ is called a *value* of $\langle f, g, h \rangle$, and we say that the Toda bracket *vanishes* (at $\psi_\phi : \Sigma'Y(3) \rightarrow Y(0)$ as above) if $\psi_\phi \sim *$ – that is, if $\langle f, g, h \rangle$ includes the null map.

1.5. Remark. By what we have shown, $\langle f, g, h \rangle$ vanishes if and only if we can vary the spaces $Y(0), \dots, Y(3)$ and the maps f, g, h within their homotopy classes so as to make the adjacent composites in (1.2) (strictly) *zero*, rather than just null-homotopic.

In fact, by considering the cofiber sequence

$$Y(3) \rightarrow Y(2) \rightarrow \text{cof}(h) \rightarrow \Sigma'Y(3)$$

one can show that $\langle f, g, h \rangle$ is a double coset in the group $[\Sigma'Y(3), Y(0)]$: In fact, the choices for homotopy classes of a nullhomotopy for any fixed pointed map $\varphi : A \rightarrow B$ are in one-to-one correspondence with classes $[\Sigma A, B]$ (see [Sp1, §1]), and thus the contribution of the choices for ϕ and g_2 respectively to the value of $\langle f, g, h \rangle$ are given by $(\Sigma'h)^\#[\Sigma'Y(2), Y(0)]$ and $f_\#[\Sigma'Y(3), Y(1)]$, respectively.

The two subgroups

$$(1.6) \quad (\Sigma'h)^\#[\Sigma'Y(2), Y(0)] \quad \text{and} \quad f_\#[\Sigma'Y(3), Y(1)],$$

of $[\Sigma'Y(3), Y(0)]$ are referred to as the *indeterminacy* of $\langle f, g, h \rangle$; when $Y(3)$ is a homotopy cogroup object or $Y(1)$ is a homotopy group object, the sum of (1.6) is a subgroup of the abelian group $[\Sigma'Y(3), Y(0)]$.

In any case, *vanishing* means precisely that the (well-defined) class of $\langle f, g, h \rangle$ in the double quotient

$$[(\Sigma'h)^\#[\Sigma'Y(2), Y(0)] \setminus [\Sigma'Y(3), Y(0)] / f_\#[\Sigma'Y(3), Y(1)]]$$

is the trivial element in the quotient set.

1.7. Remark. The ‘right justified’ definition of our ordinary Toda bracket is given in Step (c) of Section 7.A below. This will depend on a specific initial choice of maps f and g with $f \circ g = *$ (rather than $f \circ g \sim *$), and will be denoted by $\langle \underline{f}, \underline{g}, h \rangle$, so

$$\langle f, g, h \rangle = \bigcup_{f \circ g = *} \langle \underline{f}, \underline{g}, h \rangle$$

where the union is indexed over those pairs with f and g in the specified homotopy classes.

The reader is advised to refer to that section for examples of all constructions in Sections 3-4 below, since the example of our long Toda bracket $\langle f, g, h, k \rangle$ in Section 7 was the template for our more general setup.

2. GRADED REEDY MATCHING SPACES

Our goal is now to extend the notions recalled in Section 1 – of Toda diagrams, and Toda brackets as obstructions to their (pointed) realization – to more general diagrams $Y : \mathcal{J} \rightarrow \mathcal{E}$, where \mathcal{E} is some complete category (eventually, a pointed model category).

2.A. Reedy indexing categories

Since our approach will be inductive, we need to be able to filter our indexing category \mathcal{J} , for which purpose we need the following notions. Recall that a category is said to be locally finite if each Hom-set is finite.

2.1. Definition. We define a *weak lattice* to be a locally finite Reedy indexing category \mathcal{J} (see [Hir, 15.1]), equipped with a degree function $\text{deg} : \text{Obj } \mathcal{J} \rightarrow \mathbb{N}$, written $|x| = \text{deg}(x)$, such that:

- \mathcal{J} is connected,
- there are only finitely many objects in each degree,
- all non-identity morphisms strictly decrease degree, and
- every object maps to (at least) one of degree zero.

2.2. Remark. Note that a weak lattice \mathcal{J} has no directed loops or non-trivial endomorphisms, and $x \in \text{Obj } \mathcal{J}$ has only Id_x mapping out of it if and only if $|x| = 0$. Moreover, each object is the source of only finitely many morphisms, although there may be elements of arbitrarily large degree.

2.3. Notation. For a weak lattice \mathcal{J} as above:

- (a) We denote by \mathcal{J}_k the full subcategory of \mathcal{J} consisting of the objects of degree $\leq k$, with $I_k : \mathcal{J}_k \rightarrow \mathcal{J}$ the inclusion.
- (b) For any $x \in \text{Obj } \mathcal{J}$ in a positive degree, \mathcal{J}^x will denote the full subcategory of \mathcal{J} whose objects are those $t \in \mathcal{J}$ with $\mathcal{J}(x, t)$ non-empty. Thus $x \in \mathcal{J}^x$ and $\mathcal{J}^x \cap \mathcal{J}_0 \neq \emptyset$ (by §2.1).
- (c) We denote by \mathcal{J}_k^x the full subcategory of \mathcal{J}^x containing x and all objects (under x) of degree at most k , with $I_k^x : \mathcal{J}_k^x \rightarrow \mathcal{J}^x$ the inclusion. We implicitly assume that $|x| > k$ when we use this notation. Similarly, $\partial \mathcal{J}_k^x$ is the full subcategory of \mathcal{J}_k^x containing all objects other than x .
- (d) Given $|x| \geq k > 0$ and a functor $Y : \mathcal{J}_{k-1}^x \rightarrow \mathcal{E}$ we have maps

$$\sigma_{k-1}^x : Y(x) \rightarrow \prod_{\substack{\mathcal{J}(x,t) \\ |t|=k-1}} Y(t) \quad \text{and} \quad \sigma_{<k}^x : Y(x) \rightarrow \prod_{\substack{\mathcal{J}(x,t) \\ |t|<k}} Y(t)$$

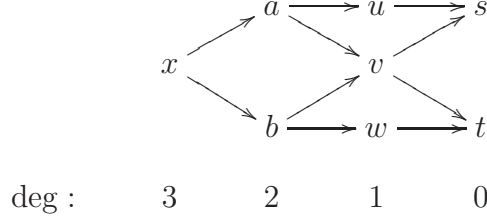
given by $Y(f) : Y(x) \rightarrow Y(t)$ into the factor $Y(t)$ indexed by $f : x \rightarrow t$.

- (e) Given $Y : \mathcal{J}_{k-1}^x \rightarrow \mathcal{E}$ as above, there is a natural *generalized diagonal* map:

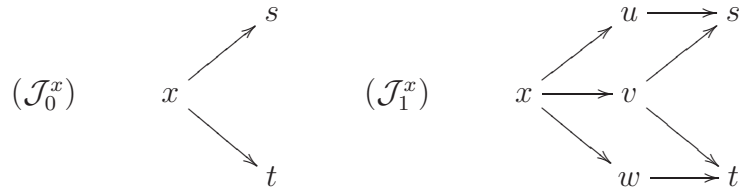
$$(2.4) \quad \Psi = \Psi_k^x : \prod_{\substack{\mathcal{J}(x,v) \\ |v|<k}} Y(v) \longrightarrow \prod_{|s|=k} \prod_{|v|<k} Y(v)$$

mapping to the copy of $Y(v)$ on the right with index $x \xrightarrow{g} s \xrightarrow{f} v$ by projection of the left hand product onto the copy of $Y(v)$ indexed by the composite $x \xrightarrow{fg} v$ (followed by $\text{Id}_{Y(v)}$).

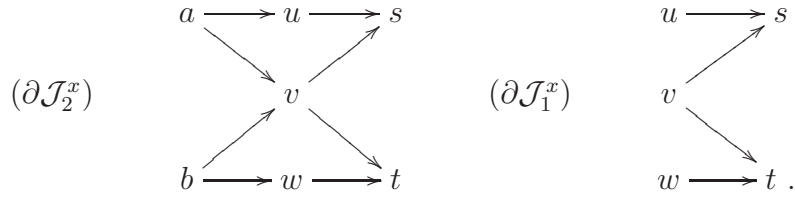
2.5. Example. Consider the following weak lattice \mathcal{J} :



where all subdiagrams commute, and the degrees are as indicated. Then

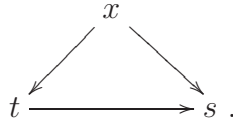


with $\mathcal{J}_2^x = \mathcal{J}$, and $\partial\mathcal{J}_0^x$ is the discrete category with objects $\{s, t\}$. Furthermore we have:



2.6. Definition. For a weak lattice \mathcal{J} as above and any $x \in \mathcal{J}$ of degree $> k$:

- (a) The *comma category* $(x \downarrow \mathcal{J}_k) = (x \downarrow \partial\mathcal{J}_k^x)$ has as objects the morphisms in \mathcal{J} from x to objects in \mathcal{J}_k , with maps in $(x \downarrow \mathcal{J}_k)$ given by commutative triangles in \mathcal{J} of the form



- (b) For any functor $Y : \partial\mathcal{J}_k^x \rightarrow \mathcal{E}$ and $k < |x|$, we define the object $\mathbf{M}_k^x(Y)$ (functorial in Y) to be the limit in \mathcal{E}

$$\mathbf{M}_k^x(Y) := \lim_{(x \downarrow \mathcal{J}_k^x)} \widehat{Y} ,$$

where $\widehat{Y}(f : x \rightarrow s) = Y(s)$ (see [Mc, X.3]).

We often write \mathbf{M}_k^x for $\mathbf{M}_k^x(Y)$ when Y is clear from the context.

- (c) For any slightly larger diagram $Y : \mathcal{J}_k^x \rightarrow \mathcal{E}$, there is a canonical map in \mathcal{E} defined using the universal property of the limit, $m_k^x(Y) : Y(x) \rightarrow \mathbf{M}_k^x(Y)$,

and $\sigma_{<k+1}^x$ is the composite of m_k^x with the forgetful map (inclusion)

$$\mathbf{M}_k^x \xleftarrow{\text{forget}} \prod_{\substack{\mathcal{J}(x,t) \\ |t| \leq k}} Y(t)$$

from the limit to the product, so it is closely related to the Reedy matching map when $k = |x| - 1$.

Note that \mathbf{M}_0^x is simply a product of entries of degree zero, indexed by the set of maps from x to the discrete category \mathcal{J}_0^x , and $m_0^x = \sigma_0^x$. When \mathcal{E} is a model category, Y is called *Reedy fibrant* if each $m_{|x|-1}^x(Y)$ is a fibration; the special case $k = |x| - 1$ is the standard Reedy matching construction (cf. [Hir, Defn. 15.2.3 (2)]).

2.7. Lemma. *Given a functor $Y : \partial\mathcal{J}_k^x \rightarrow \mathcal{E}$ as above, an extension to $\bar{Y} : \mathcal{J}_k^x \rightarrow \mathcal{E}$ is (uniquely) determined by a choice of an object $\bar{Y}(x) \in \mathcal{E}$, together with a map $\bar{Y}(x) \rightarrow \mathbf{M}_k^x(Y)$.*

Proof. Recall that there is an adjoint pair given by forgetting and the right Kan extension over I_x^k . The fact that I_x^k is fully faithful implies that the right Kan extension restricts back to the original functor (hence the term extension). Moreover, $\mathbf{M}_k^x(Y)$ is the formula for the value of the right Kan extension, $\text{Ran}_{\partial\mathcal{J}_k^x}^{\mathcal{J}_k^x}(Y)$, at the entry x (see [Mc, X.3, Thm 1]).

Because of the adjunction, \bar{Y} extends Y on $\partial\mathcal{J}_k^x$ precisely when there is a natural transformation $\bar{Y} \rightarrow \text{Ran}_{\partial\mathcal{J}_k^x}^{\mathcal{J}_k^x}(Y)$ restricting to the identity away from x . It is thus completely determined by the entry $\bar{Y}(x) \rightarrow \mathbf{M}_k^x(Y)$. \square

Embedding the limit $\mathbf{M}_k^x(Y)$ as usual into $\prod_{\mathcal{J}(x,u), |u| \leq k} Y(u)$, we see that there are two kinds of conditions needed for an element in this product to be in the limit (when \mathcal{E} is a concrete category):

- (a) Those not involving $Y(s)$ with $|s| = k$, yielding $\mathbf{M}_{k-1}^x(Y)$ in the lower left corner of (2.9);
- (b) Those which do involve $Y(s)$ with $|s| = k$, where the compatibility conditions necessarily involve objects in degree $< k$, since all maps in \mathcal{J} lower degree.

This implies:

2.8. Lemma. *If \mathcal{J} is a weak lattice and $|x| > k > 0$, a functor $Y : \partial\mathcal{J}_k^x \rightarrow \mathcal{E}$ induces a pullback square:*

$$(2.9) \quad \begin{array}{ccc} \mathbf{M}_k^x(Y) & \xrightarrow{\quad} & \prod_{\substack{\mathcal{J}(x,s) \\ |s|=k}} Y(s) \\ \downarrow & \lrcorner & \downarrow \prod_{\mathcal{J}(x,s)} \sigma_{<k}^s \\ \mathbf{M}_{k-1}^x(Y) & \xleftarrow{\text{forget}} \prod_{\substack{\mathcal{J}(x,t) \\ |t|<k}} Y(t) & \xrightarrow{\Psi} \prod_{\substack{\mathcal{J}(x,s) \\ |s|=k}} \prod_{\substack{\mathcal{J}(s,v) \\ |v|<k}} Y(v). \end{array}$$

Here $\Psi = \Psi_k^x$ is the generalized diagonal map of (2.4), and the maps $\sigma_{<k}^s$ on the right (given by §2.3(d)) all have sources in $\partial\mathcal{J}_k^x$, where $Y(f)$ is defined.

Proof. Note that the existence of Y suffices to define each component of the diagram. In particular, $Y(f)$ is defined for each morphism f in $\partial\mathcal{J}_k^x$, and even forms part of the definition of the factors of the right vertical, but such maps are not defined for any $g : x \rightarrow v$ with $|v| \geq k$.

Denote the pullback of the lower right part of the diagram by \mathbf{R}_k^x . We first show that \mathbf{R}_k^x induces a cone on $(x \downarrow \mathcal{J}_k^x)$, thus inducing a map $\mathbf{R}_k^x \rightarrow \mathbf{M}_k^x$ by the universal property of the limit: projecting off to the right for targets of degree k , or projecting after moving down followed by the forgetful map for targets of lower degree, yields maps $\bar{Y}(g) : \mathbf{R}_k^x \rightarrow Y(s)$ for each $g : x \rightarrow s$ in $(x \downarrow \mathcal{J}_k^x)$. We must verify that whenever $h = fg$ for $h : x \rightarrow t$ we have a commutative diagram in \mathcal{E} , so that $\bar{Y}(h) = Y(f)\bar{Y}(g)$. If the codomain of g has degree less than k , the upper right corner is not involved, and commutativity follows from the fact that the map from \mathbf{R}_k^x factors through $\mathbf{M}_{k-1}^x(Y)$ in the lower left. On the other hand, if the codomain of g has degree exactly k , then projecting off at the chosen pair (g, f) in the assumed (commutative) pullback diagram, we see that

$$(2.10) \quad \begin{array}{ccc} \mathbf{R}_k^x & \xrightarrow{\bar{Y}(g)} & Y(s) \\ \bar{Y}(h) \downarrow & & \downarrow Y(f) \\ Y(t) & \xrightarrow{=} & Y(t) \end{array}$$

commutes by the definition of the generalized diagonal Ψ , which establishes the cone condition. Thus, the universal property of the limit yields a unique map $\mathbf{R}_k^x \rightarrow \mathbf{M}_k^x$.

On the other hand, the forgetful map $\text{forget} : \mathbf{M}_k^x \hookrightarrow \prod_{\substack{\mathcal{J}(x,t) \\ |t| \leq k}} Y(t)$ can be split into

factors with $|t| = k$, and the factors with $|t| < k$, thereby defining maps to the two corners of the pullback which will make the outer diagram commute, by inspection. Thus, there is also a map $\mathbf{M}_k^x \rightarrow \mathbf{R}_k^x$ and the induced cone, as above, is the standard one, so the composite is the identity on \mathbf{M}_k^x .

Finally, starting from \mathbf{R}_k^x , building the cone as above and then projecting as just discussed recovers the same maps $\bar{Y}(h)$ as entries, so this composite is the identity on \mathbf{R}_k^x as well. \square

2.B. Pointed Graded Matching Objects

Higher homotopy operations have traditionally appeared as obstructions to vanishing in a pointed context, so we shall need a pointed version of the constructions above.

2.11. Definition. When \mathcal{E} is any category with limits (such as a model category), a *pointed object* in \mathcal{E} is one equipped with a map from the final object (or empty limit), denoted by $*$. The most commonly occurring case is where $*$ is a *zero object* (both initial and final in \mathcal{E}). Similarly, a *pointed map* in \mathcal{E} is one under $*$. This defines the pointed category \mathcal{E}_* (which inherits any model category structure on \mathcal{E} – cf. [Hov, 1.1.8]). Note that there is a canonical zero map, also denoted by $*$, between any two objects in \mathcal{E}_* .

2.12. **Definition.** We say that a small category \mathcal{J} as in §2.1 is a *pointed indexing category* if the set of morphisms has a partition $\text{Mor}(\mathcal{J}) = \tilde{\mathbf{J}} \sqcup \overline{\mathcal{J}}$ (and thus $\mathcal{J}(x, t) = \tilde{\mathbf{J}}(x, t) \sqcup \overline{\mathcal{J}}(x, t)$ for each $x, t \in \text{Obj } \mathcal{J}$) such that:

- (a) $\overline{\mathcal{J}}(x, x)$ contains Id_X if and only if x is a zero object in \mathcal{J} .
- (b) The subsets $\overline{\mathcal{J}}(x, t)$ are absorbing under composition – that is, if f and g are composable and either of f or g lies in $\overline{\mathcal{J}}$, then so does their composite. Thus $\overline{\mathcal{J}}$ behaves like a (2-sided) ideal and $\tilde{\mathbf{J}}$ like the corresponding cosets.

Given \mathcal{E}_* and a pointed weak lattice \mathcal{J} – that is, a pointed indexing category which is also a weak lattice – a *pointed diagram* in \mathcal{E}_* is a functor $Y : \mathcal{J} \rightarrow \mathcal{E}_*$ such that $Y(g) = *$ whenever $g \in \overline{\mathcal{J}}(x, t)$.

2.13. **Example.** We can make the decreasing poset category

$$\mathcal{J} = [n] = \{n > n - 1 > \dots > 0\}$$

pointed by setting $\overline{\mathcal{J}}(t, s) := \mathcal{J}(t, s)$ whenever $t - s > 1$, so only indecomposable maps lie in $\tilde{\mathbf{J}}$. A pointed diagram $\mathcal{J} \rightarrow \mathcal{E}_*$ is then simply a chain complex in \mathcal{E}_* .

2.14. *Remark.* Making a diagram commute while also forcing certain maps to be zero is more restrictive than simply making it commute. Thus, we would like to construct an analog of \mathbf{M}_k^x tailored to the pointed case.

Note that in a pointed category \mathcal{E}_* there is a canonical map $* \rightarrow \prod_{\overline{\mathcal{J}}(x, t)} Y(t)$ for any t , hence a section

$$(2.15) \quad \Theta : \prod_{\tilde{\mathbf{J}}(x, t)} Y(t) \rightarrow \prod_{\mathcal{J}(x, t)} Y(t)$$

of the projection map.

2.16. **Definition.** Given any diagram $Y : \mathcal{J} \rightarrow \mathcal{E}_*$, where \mathcal{J} is a pointed weak lattice, define its *reduced matching space* (for x and k) as the object of \mathcal{E} defined by the pullback:

$$\begin{array}{ccc} \overline{\mathbf{M}}_k^x(Y) & \xrightarrow{\iota_k^x} & \mathbf{M}_k^x(Y) \\ \text{forget} \downarrow & \lrcorner & \downarrow \text{forget} \\ \prod_{\substack{\tilde{\mathbf{J}}(x, t) \\ |t| \leq k}} Y(t) & \xrightarrow{\Theta} & \prod_{\substack{\mathcal{J}(x, t) \\ |t| \leq k}} Y(t) \end{array}$$

which also determines the maps ι_k^x and $\overline{\text{forget}}$. In effect, we have replaced any factor indexed on a map in $\overline{\mathcal{J}}$ by $*$, like reducing modulo the ideal $\overline{\mathcal{J}}$, precisely as one would expect for a pointed diagram.

We then have the following analogues of Lemmas 2.7 and 2.8:

2.17. **Lemma.** *Given a pointed functor $Y : \partial\mathcal{J}_k^x \rightarrow \mathcal{E}_*$, a pointed extension to $\overline{Y} : \mathcal{J}_k^x \rightarrow \mathcal{E}_*$ is (uniquely) equivalent to a choice of an object $\overline{Y}(x)$, together with a morphism in \mathcal{E}_* , $\overline{Y}(x) \rightarrow \overline{\mathbf{M}}_k^x(Y)$.*

2.18. **Lemma.** *If $|x| > k > 0$, a pointed functor $Y : \partial\mathcal{J}_k^x \rightarrow \mathcal{E}_*$ (for \mathcal{J} and \mathcal{E}_* as above) induces a pullback square:*

$$(2.19) \quad \begin{array}{ccc} \overline{\mathbf{M}}_k^x(Y) & \xrightarrow{\quad} & \prod_{\substack{\tilde{\mathbf{J}}(x,s) \\ |s|=k}} Y(s) \\ \downarrow & \lrcorner & \downarrow \prod_{\substack{\tilde{\mathbf{J}}(x,s) \\ |s|=k}} \sigma_{<k}^s \\ \overline{\mathbf{M}}_{k-1}^x(Y) & \xrightarrow{\text{forget}} \prod_{\substack{\tilde{\mathbf{J}}(x,t) \\ |t|<k}} Y(t) & \xrightarrow{\overline{\Psi}} \prod_{\substack{\tilde{\mathbf{J}}(x,s) \\ |s|=k}} \prod_{\substack{\tilde{\mathbf{J}}(s,v) \\ |v|<k}} Y(v) \end{array}$$

where $\sigma_{<k}^s$ is as in §2.3, and $\overline{\Psi} = \overline{\Psi}_k^x$ is defined by analogy with (2.4).

Proof. Follow the proof of Lemma 2.8, with $\tilde{\mathbf{J}}$ replacing \mathcal{J} . The absence of factors indexed in $\overline{\mathcal{J}}$ implies that the structure maps $\overline{Y}(h)$ from the pullback of (2.19) to the copy of $Y(s)$ indexed by $h : X \rightarrow s$ is the zero map whenever $h \in \overline{\mathcal{J}}$, so the result follows from the absorbing property of $\overline{\mathcal{J}}$. \square

From the two lemmas we have:

2.20. **Corollary.** *Any pointed diagram $Y : \mathcal{J}_k^x \rightarrow \mathcal{E}_*$ induces a structure map $\overline{\mathbf{m}}_k^x : Y(x) \rightarrow \overline{\mathbf{M}}_k^x$ for each $|x| > k > 0$.*

2.21. **Definition.** If \mathcal{E} is a model category, and \mathcal{J} is a pointed weak lattice, a pointed diagram $Y : \mathcal{J} \rightarrow \mathcal{E}_*$ is called *pointed Reedy fibrant* if each map $\overline{\mathbf{m}}_{|x|-1}^x$ is a fibration.

2.22. **Lemma.** *If \mathcal{E} is a model category and \mathcal{J} is a pointed weak lattice, a pointed diagram $Y : \mathcal{J} \rightarrow \mathcal{E}$ which is Reedy fibrant in the sense of §2.6 is also pointed Reedy fibrant. Moreover, for any pointed Reedy fibrant Y , $\overline{\mathbf{M}}_k^x(Y)$ is fibrant in \mathcal{E}_* for each k .*

Proof. Let $k = |x| - 1$, and consider a lifting square for $\overline{\mathbf{m}}_k^x$ with respect to an acyclic cofibration α ; extend the diagram to include \mathbf{m}_k^x :

$$\begin{array}{ccccc} C & \longrightarrow & Y(x) & & \\ \alpha \downarrow & & \downarrow \overline{\mathbf{m}}_k^x & \searrow \mathbf{m}_k^x & \\ D & \longrightarrow & \overline{\mathbf{M}}_k^x & \xrightarrow{\iota_k^x} & \mathbf{M}_k^x \end{array}$$

Note that a lift in the outer, distorted square will serve as a lift for the inner square, since ι_k^x is a base change of another monomorphism, so is itself monic.

To show that $\overline{\mathbf{M}}_k^x(Y)$ is fibrant in \mathcal{E}_* whenever Y is pointed Reedy fibrant, we adapt the argument of Lemma 15.3.9(2) through Corollary 15.3.12(2) of [Hir], as follows:

Given a lifting diagram in \mathcal{E}_* ,

$$(2.23) \quad \begin{array}{ccc} C & \longrightarrow & \overline{\mathbf{M}}_n^x \\ \downarrow \sim & \nearrow h & \downarrow \\ D & \longrightarrow & * \end{array}$$

we construct the dotted lift by induction on $0 \leq k < n$. For a pointed Reedy fibrant object, we assume the zero entries are each fibrant, so their product $\overline{\mathbf{M}}_0^x$ will also be fibrant. For the induction step, suppose we have a lift in the diagram

$$(2.24) \quad \begin{array}{ccccc} C & \longrightarrow & \overline{\mathbf{M}}_n^x & \longrightarrow & \overline{\mathbf{M}}_{k-1}^x \\ \downarrow \sim & & \searrow^{h_{-1}} & & \downarrow \\ D & \longrightarrow & & & * \end{array}$$

Note that the structure for any $f : x \rightarrow s$ with $|s| = k$ induces a commutative diagram

$$(2.25) \quad \begin{array}{ccc} \overline{\mathbf{M}}_{k-1}^x & \longrightarrow & Y(s) \\ & \searrow & \downarrow \\ & & \overline{\mathbf{M}}_{k-1}^s \end{array}$$

so in the new lifting diagram:

$$(2.26) \quad \begin{array}{ccccc} C & \longrightarrow & \overline{\mathbf{M}}_n^x & \longrightarrow & Y(s) \\ \downarrow \sim & & \searrow^{h_f} & & \downarrow \\ D & \longrightarrow & & & \overline{\mathbf{M}}_{k-1}^s \end{array}$$

combining the previous two, the lift h_f exists because Y was assumed to be pointed Reedy fibrant. All of these maps together define $h_0 : D \rightarrow \prod Y(s)$.

Compatibility with lower degree pieces then implies that h_0 factors through the limit defining $\overline{\mathbf{M}}_k^x$ which completes our induction step, showing that $\overline{\mathbf{M}}_n^x$ is fibrant in \mathcal{E}_* . \square

2.27. Lemma. *Each pointed diagram Z has a pointed Reedy fibrant replacement \overline{Y} which is weakly equivalent to its Reedy fibrant replacement Y as an unpointed diagram.*

Proof. In the following commuting diagram:

$$\begin{array}{ccccc} Z(x) & \longrightarrow & \overline{\mathbf{M}}_k^x(Z) & \longrightarrow & \overline{\mathbf{M}}_k^x(Y) \\ \alpha \downarrow & & & & \downarrow \\ \mathbf{M}_k^x(Z) & \longrightarrow & & & \mathbf{M}_k^x(Y) \end{array}$$

factor the top horizontal composite as an acyclic cofibration $Z(x) \hookrightarrow \overline{Y}(x)$, followed by a fibration $\overline{Y}(x) \twoheadrightarrow \overline{\mathbf{M}}_k^x(Y)$. A lift in the diagram

$$\begin{array}{ccc} Z(x) & \xrightarrow{\sim} & Y(x) \\ \downarrow \sim & \searrow \sim & \downarrow \\ \overline{Y}(x) & \twoheadrightarrow & \mathbf{M}_k^x(Y) \end{array}$$

will allow us to construct inductively a weak equivalence between the new diagram \overline{Y} and the standard Reedy fibrant replacement Y for Z . \square

3. GENERAL DEFINITION OF HIGHER ORDER OPERATIONS

From now on \mathcal{E} will be a model category, and we assume given a “homotopy commutative diagram” in \mathcal{E} – that is, a functor $\tilde{Y} : \mathcal{J} \rightarrow \text{ho}(\mathcal{E})$, with \mathcal{J} as in §2.1. Our higher homotopy operations will serve as obstructions to *rectification* of such a \tilde{Y} – that is, lifting it to $Y : \mathcal{J} \rightarrow \mathcal{E}$.

We may assume for simplicity that each $\tilde{Y}(s)$ is both cofibrant and fibrant, which can always be arranged without altering any homotopy types (see §3.2).

3.1. The double induction. We attempt to construct the rectification Y by a double induction:

- I. In the outer induction, we assume we have succeeded in finding a functor $Y_n : \mathcal{J}_n \rightarrow \mathcal{E}$ (Y_n is assumed to be Reedy fibrant), realizing $\tilde{Y}|_{\mathcal{J}_n}$. In fact, for our induction step it suffices to assume only the existence of $\tilde{Y}_{n+1} : \mathcal{J}_{n+1} \rightarrow \text{ho}(\mathcal{E})$ extending Y_n .
- II. By the Reedy conditions, lifting \tilde{Y}_{n+1} to $Y_{n+1} : \mathcal{J}_{n+1} \rightarrow \mathcal{E}$ extending Y_n is equivalent to extending the latter to a *point-wise extension* $Y_n^x : \mathcal{J}_n^x \rightarrow \mathcal{E}$ for each $x \in \text{Obj } \mathcal{J}$ of degree $n+1$ separately.

Given such an x , the restriction of Y_n produces a diagram $Y_k : \partial\mathcal{J}_k^x \rightarrow \mathcal{E}$ for each $k \leq n$ and the restriction of \tilde{Y}_{n+1} produces a diagram $\tilde{Y}_k^x : \mathcal{J}_k^x \rightarrow \text{ho}(\mathcal{E})$, with the two remaining compatible. Thus, for our inner induction hypothesis, assume a pointwise extension of Y_{k-1} at x (agreeing with appropriate restrictions of both of these) has been chosen, so $Y_{k-1}^x : \mathcal{J}_{k-1}^x \rightarrow \mathcal{E}$. Our inner induction step then asks if it is possible to lift \tilde{Y}_k^x to $Y_k^x : \mathcal{J}_k^x \rightarrow \mathcal{E}$ strictly extending both Y_{k-1}^x and Y_k , with the final case of the inner induction being $k = n$.

Notice, our inner induction step is equivalent to making coherent choices for each homotopy class of maps out of x to an object of degree k , leaving all maps not involving x (so those from Y_k) or maps into objects of lower degree (so those from Y_{k-1}^x) unchanged. By Lemma 3.3 below, we may start the inner induction with Y_0^x defined by the values on objects of \tilde{Y}_0^x . The assumption that Y_n is Reedy fibrant implies that Y_1 is Reedy fibrant, too, which will allow us to use the homotopy pullback property to extend Y_0^x to Y_1^x . The general step in the inner induction will use Lemma 2.8: By assumption, we have a map into the lower left corner of (2.9), which we want to extend to a map into the upper left corner still representing the appropriate class required by \tilde{Y}_k^x .

3.2. Remark. Our induction assumption that the diagram Y_n is Reedy fibrant implies that $Y_n(t)$ is fibrant in \mathcal{E} for each $t \in \text{Obj } \mathcal{J}_n$, and the same will hold for the pullbacks that we consider below (see, e.g., §3.13). We will assume in addition that in the inner induction, for each $x \in \text{Obj } \mathcal{J}$, $Y_n^x(x)$ is cofibrant in \mathcal{E} . Together this will ensure that the left and right homotopy classes, appearing in various results from the Appendix, coincide (cf. [Hov, 1.2.6]), and the distinction can thus be disregarded.

Theorem 4.24 then yields an obstruction theory for this step in the inner induction.

3.3. Lemma. *In the setup described in §3.1 given $x \in \text{Obj } \mathcal{J}$ with $|x| > 0$:*

- (a) *Any choice of representatives for a homotopy commutative $\tilde{Y}_0^x : \mathcal{J}_0^x \rightarrow \text{ho}(\mathcal{E})$ provides a lift $Y_0^x : \mathcal{J}_0^x \rightarrow \mathcal{E}$.*

- (b) Any Reedy fibrant $Y_1 : \partial\mathcal{J}_1^x \rightarrow \mathcal{E}$ as above has a pointwise extension to a functor $Y_1^x : \mathcal{J}_1^x \rightarrow \mathcal{E}$ which lifts \tilde{Y}_1^x .

Proof. For (a), note that \mathcal{J}_0^x has no non-trivial compositions by definition.

For (b), consider the pullback diagram

$$(3.4) \quad \begin{array}{ccc} Y(x) & \overset{\sigma_1^{\tilde{Y}}}{\dashrightarrow} & \prod_{\substack{\mathcal{J}(x,s) \\ |s|=1}} Y(s) \\ \downarrow m_1^x & \dashrightarrow m_1^x & \downarrow \Pi m_0^s(Y_1) \\ \mathbf{M}_1^x(Y_1) & \xrightarrow{\perp} & \prod_{\substack{\mathcal{J}(x,s) \\ |s|=1}} Y(s) \\ \downarrow m_0^x & & \downarrow \Psi \\ \mathbf{M}_0^x(Y_0^x) & \xlongequal{\quad} \prod_{\substack{\mathcal{J}(x,t) \\ |t|=0}} Y(t) & \xrightarrow{\quad} \prod_{\substack{\mathcal{J}(x,s) \\ |s|=1}} \prod_{\substack{\mathcal{J}(s,v) \\ |v|=0}} Y(v) \end{array}$$

where the right vertical is a fibration (being a product of fibrations by the Reedy fibrancy assumption). This is a special case of (2.9) where the forgetful (inclusion) map on the lower left is the identity, since $\partial\mathcal{J}_0^x$ is discrete.

Note that the outer diagram commutes up to homotopy, since it simply compares composites representing maps in \tilde{Y}_1^x in a somewhat unusual presentation. By Lemma A.5, we can then alter the dashed map $\sigma_1^{\tilde{Y}}$ within its homotopy class to obtain the dotted map m_1^x into \mathbf{M}_1^x . Equivalently, by Lemma 2.7 one can find a representative of \tilde{Y}_1^x extending to \mathcal{J}_1^x without altering the restriction to $\partial\mathcal{J}_1^x$ (although this may not be the original \tilde{Y}_1^x , since we might have altered $\sigma_1^{\tilde{Y}}$ within its homotopy class when applying Lemma A.5). \square

3.5. Remark. Using Lemma 3.3, we shall henceforth assume that in the inner induction we may start with $k \geq 1$. In order to ensure Reedy fibrancy for $k = 1$, we factor $m_1^x : Y(x) \rightarrow \mathbf{M}_1^x$ as an acyclic cofibration $Y(x) \hookrightarrow \hat{Y}(x)$ followed by a fibration $\hat{m}_1^x : \hat{Y}(x) \rightarrow \mathbf{M}_1^x$. We must verify that $\hat{Y}(x)$ and \hat{m}_1^x may be chosen in such a way that the maps to the other objects $\hat{Y}(s)$ (with $|s| > 1$) have the correct homotopy type. However, by assumption all such objects $\hat{Y}(s)$ are fibrant, so we can use the left lifting property for

$$\begin{array}{ccc} Y(x) & \xrightarrow{\alpha} & \tilde{Y}(s) \\ \sim \downarrow & \nearrow \hat{\alpha} & \downarrow \\ \hat{Y}(x) & \xrightarrow{\quad} & * \end{array}$$

to ensure that α and $\hat{\alpha}$ have the same homotopy class.

In the inner induction on k , we build up the diagram under the fixed $x \in \text{Obj } \mathcal{J}$ by extending Y_{k-1}^x to objects in degree k , using:

3.6. Lemma. Assume $|x| > k$. Given $Y_{k-1}^x : \mathcal{J}_{k-1}^x \rightarrow \mathcal{E}$ and $|s| = k$, any $g \in \mathcal{J}(x, s)$ induces a map $\rho(g) : Y(x) \rightarrow \mathbf{M}_{k-1}^s$.

Proof. Given g , the diagram Y_{k-1}^x induces a cone on $(s \downarrow \mathcal{J}_{k-1}^x)$, sending $f : s \rightarrow v$ to the value of Y_{k-1}^x at the target of fg . Moreover, given a morphism

$$\begin{array}{ccc} & s & \\ f \swarrow & & \downarrow f' \\ v & \xrightarrow{h} & u \end{array}$$

in $(s \downarrow \mathcal{J}_{k-1}^x)$, precomposition with g yields

$$\begin{array}{ccc} & x & \\ fg \swarrow & & \downarrow f'g \\ v & \xrightarrow{h} & u \end{array}$$

which commutes in \mathcal{J} – that is, a morphism in \mathcal{J}_{k-1}^x . Applying Y_{k-1}^x yields a commutative diagram in \mathcal{E} , showing that we have a cone, and thus a map $\rho(g)$ to the limit. \square

3.7. Corollary. *Combining all maps $\rho(g)$ of Lemma 3.6, a functor $Y_{k-1}^x : \mathcal{J}_{k-1}^x \rightarrow \mathcal{E}$ induces a natural map $\rho_{k-1} : Y(x) \rightarrow \prod_{\substack{\mathcal{J}(x,s) \\ |s|=k}} \mathbf{M}_{k-1}^s$.*

3.8. Definition. A *pullback grid* is a commutative diagram tiled by squares where each square, hence each rectangle in the diagram, is a pullback.

Next, we embed the maps ρ_{k-1} and m_{k-1}^x in a pullback grid, in order to apply Lemma 2.8:

3.9. Lemma. *Assuming $|x| > n \geq k \geq 2$, any functor $Y_{k-1}^x : \mathcal{J}_{k-1}^x \rightarrow \mathcal{E}$ induces a pullback grid defined by the lower horizontal and right vertical maps, with the natural (dashed) maps into the pullbacks:*

$$(3.10) \quad \begin{array}{ccccc} Y(x) & & & & \\ \downarrow \beta_{k-1} & \dashrightarrow \eta_{k-1} & & \xrightarrow{\rho_{k-1}} & \\ \mathbf{N}_{k-1}^x & \xrightarrow{q_{k-1}} & \mathbf{Q}_{k-1}^x & \xrightarrow{v} & \prod_{\substack{\mathcal{J}(x,s) \\ |s|=k}} \mathbf{M}_{k-1}^s \\ \downarrow m_{k-1}^x & \lrcorner & \downarrow u & & \downarrow \prod \text{forget} \\ \mathbf{M}_{k-1}^x & \xrightarrow{\text{forget}} & \prod_{\substack{\mathcal{J}(x,t) \\ |t|<k}} Y(t) & \xrightarrow{\Psi} & \prod_{\substack{\mathcal{J}(x,s) \\ |s|=k}} \prod_{\substack{\mathcal{J}(s,v) \\ |v|<k}} Y(v) \end{array}$$

Proof. To verify commutativity of the outer diagram, note that for each composable pair $x \xrightarrow{g} s \xrightarrow{f} v$ in \mathcal{J} , the projection of either composite from $Y(x)$ onto the copy of $Y(v)$ indexed by (g, f) (in the lower right corner) is $Y(fg)$, by definition. \square

We now set the stage for our obstruction theory by combining all of these pieces in a single diagram:

3.11. Proposition. *Assuming $|x| > n \geq k \geq 2$, any functor $Y_k : \partial\mathcal{J}_k^x \rightarrow \mathcal{E}$ as in §3.1 induces maps into a pullback grid:*

$$(3.12) \quad \begin{array}{ccccc} Y(x) & \xrightarrow{\sigma_k^x := \sigma_k^x(\tilde{Y}_k^x)} & \prod_{\substack{\mathcal{J}(x,s) \\ |s|=k}} Y(s) & & \\ \downarrow m_k^x & \searrow \alpha_k & \downarrow r_k & & \\ \mathbf{M}_k^x & \xrightarrow{\quad} & \mathbf{P}_k^x & \xrightarrow{\quad} & \prod_{\substack{\mathcal{J}(x,s) \\ |s|=k}} Y(s) \\ \downarrow \lrcorner & \downarrow \eta_{k-1} & \downarrow p_{k-1} & \downarrow \lrcorner & \downarrow \prod m_{k-1}^s \\ \mathbf{N}_{k-1}^x & \xrightarrow{q_{k-1}} & \mathbf{Q}_{k-1}^x & \xrightarrow{v} & \prod_{\substack{\mathcal{J}(x,s) \\ |s|=k}} \mathbf{M}_{k-1}^s \\ \downarrow \lrcorner & \downarrow \lrcorner & \downarrow u & \downarrow \lrcorner & \downarrow \prod \text{forget} \\ \mathbf{M}_{k-1}^x & \xrightarrow{\text{forget}} & \prod_{\substack{\mathcal{J}(x,t) \\ |t|<k}} Y(t) & \xrightarrow{\Psi} & \prod_{\substack{\mathcal{J}(x,s) \\ |s|=k}} \prod_{\substack{\mathcal{J}(s,v) \\ |v|<k}} Y(v) \\ \downarrow m_{k-1}^x & \downarrow \beta_{k-1} & \downarrow \text{forget} & \downarrow \text{forget} & \downarrow \text{forget} \\ Y(x) & \xrightarrow{\quad} & \mathbf{M}_{k-1}^x & \xrightarrow{\quad} & \prod_{\substack{\mathcal{J}(x,t) \\ |t|<k}} Y(t) \end{array}$$

Here $\sigma_k^x := \sigma_k^x(\tilde{Y}_k^x)$ only makes the outermost diagram commute up to homotopy.

Furthermore, the map m_k^x exists (after altering σ_k^x within its homotopy class) if and only if there is a map α_k such that $p_{k-1}\alpha_k = \eta_{k-1}$ and $r_k\alpha_k \sim \sigma_k^x$.

Proof. The outer pullback is \mathbf{M}_k^x by Lemma 2.8 and the fact that m_{k-1}^s followed by the inclusion “forget” is $\sigma_{<k}^s$ (cf. §2.3).

Note that the lower half of the grid involves only objects of \mathcal{J} in degrees $< k$, so the fact that Y_k agrees with $Y_{k-1}^x : \mathcal{J}_{k-1}^x \rightarrow \mathcal{E}$ implies that β_{k-1} and η_{k-1} exist, by Lemma 3.9.

The outer diagram commutes up to homotopy because $(Y_k)|_{\partial\mathcal{J}_{k-1}^x}$ agrees with Y_{k-1}^x and lifts \tilde{Y}_k^x , which is homotopy commutative.

Since the upper left square is a pullback, producing a lift of $\beta_{k-1} : Y(x) \rightarrow \mathbf{N}_{k-1}^x$ to \mathbf{M}_k^x is equivalent to choosing a lift of $\eta_{k-1} : Y(x) \rightarrow \mathbf{Q}_{k-1}^x$ to $\alpha_k : Y(x) \rightarrow \mathbf{P}_k^x$ (with $p_{k-1} \circ \alpha_k = \eta_{k-1} = q_{k-1} \circ \beta_{k-1}$).

The fact that we only alter σ_k^x within its homotopy class ensures that $r_k \circ \alpha_k \sim \sigma_k^x$, with the left hand side serving as the replacement for the right hand side. \square

3.13. Remark. The problem here is that even though the two maps from $Y(x)$ into $\prod_{\mathcal{J}(x,s)} \prod_{\mathcal{J}(s,v)} Y(v)$ (in the lower right corner of (3.12)) agree up to homotopy, this need not hold for the two maps into $\prod_{\mathcal{J}(x,s)} \mathbf{M}_{k-1}^s$, the middle term on the right. Thus we cannot simply apply Lemma A.5 to work with just the upper half of (3.12).

In connection with Remark 3.2, one should note that all three of the objects along the right vertical edge of (3.12) are fibrant in \mathcal{E} . The top and bottom objects are products of entries we assumed were fibrant. However, the middle object is a product of the usual Reedy matching spaces for the factors in the product above, so by [Hir, Cor. 15.3.12 (2)], our assumption of Reedy fibrancy implies these factors are also fibrant.

Lemma 2.22 implies that this holds in the pointed case, too.

3.14. The Total Higher Homotopy Operation. Following our inner induction hypothesis as in §3.1(II), assume given $\tilde{Y}_k^x : \mathcal{J}_k^x \rightarrow \text{ho}(\mathcal{E})$, $Y_{k-1}^x : \mathcal{J}_{k-1}^x \rightarrow \mathcal{E}$ and a Reedy fibrant $Y_k : \partial\mathcal{J}_k^x \rightarrow \mathcal{E}$.

Factor the generalized diagonal map $\Psi = \Psi_k^x$ of (2.4) as a trivial cofibration $\iota : \prod_{\mathcal{J}(x,t)} Y(t) \xrightarrow{\sim} F^1$ followed by a fibration $\Psi' : F^1 \twoheadrightarrow \prod_{\mathcal{J}(x,s)} \prod_{\mathcal{J}(s,v)} Y(v)$.

(If we want a canonical choice of F^1 , we will use the product of free path spaces for the non-zero factors appearing in the target and the reduced path space for each zero factor (see §2.B), with ι defined by the constant paths for non-zero factors.)

We then pull back the right vertical maps of (3.12) to produce the following pullback grid, with fibrations indicated as usual by \twoheadrightarrow :

$$(3.15) \quad \begin{array}{ccccc} & & Y(x) & & \\ & & \xrightarrow{\sigma_k^x := \sigma_k^x(\tilde{Y}_k^x)} & & \\ & \nearrow \eta_{k-1} & \dashrightarrow \alpha_k & \dashrightarrow \kappa & \dashrightarrow \\ & \searrow \varphi & \dashrightarrow \eta_{k-1} & \dashrightarrow \kappa & \dashrightarrow \\ & & \mathbf{P}_k^x & \xrightarrow{w} & F^3 & \xrightarrow{r'_k} & \prod_{\substack{\mathcal{J}(x,s) \\ |s|=k}} Y(s) \\ & & \downarrow p_{k-1} & \lrcorner & \downarrow \mu & \lrcorner & \downarrow \prod m_{k-1}^s \\ & & \mathbf{Q}_{k-1}^x & \xrightarrow{\gamma} & F^2 & \xrightarrow{s} & \prod_{\substack{\mathcal{J}(x,s) \\ |s|=k}} \mathbf{M}_{k-1}^s & \text{---} \prod \sigma_{<k}^s \\ & & \downarrow u & \lrcorner & \downarrow q & \lrcorner & \downarrow \prod \text{forget} \\ & & \prod_{\substack{\mathcal{J}(x,t) \\ |t|<k}} Y(t) & \xrightarrow{\iota} & F^1 & \xrightarrow{\Psi'} & \prod_{\substack{\mathcal{J}(x,s) \\ |s|=k}} \prod_{\substack{\mathcal{J}(s,v) \\ |v|<k}} Y(v) & \text{---} \prod \sigma_{<k}^s \\ & & & \xrightarrow{\Psi} & & & & \end{array}$$

where the outermost diagram commutes up to homotopy (and the map η_{k-1} exists by Lemma 3.9).

In order to construct a lift $Y_k^x : \mathcal{J}_k^x \rightarrow \mathcal{E}$, by Proposition 3.11, we need to produce the dotted map α_k with $p_{k-1} \circ \alpha_k = \eta_{k-1}$ and $r_k \circ \alpha_k = r'_k \circ w \circ \alpha_k \sim \sigma_k^x$. The problem is that the large square is a strict pullback, but not a homotopy pullback, so the outermost diagram commuting up to homotopy is not enough.

However, the top left square *is* a pullback over a fibration, so by Lemma A.5 producing α_k is equivalent to finding a map κ with $\mu \circ \kappa \sim \gamma \circ \eta_{k-1}$ and $r'_k \circ \kappa \sim \sigma_k^x$.

Moreover, Lemma A.5 applies to the right vertical rectangle, which implies that choosing κ is equivalent to finding a map φ in the same homotopy class as the composite $\iota \circ \sigma_{<k}^x$, making the outer diagram commute. Thus, the only question is whether the two composites $Y(x) \rightarrow F^2$ agree: that is, given φ , with the map κ induced by φ (for which necessarily $r'_k \circ \kappa \sim \sigma_k^x$), is it true that $\mu \circ \kappa \sim \gamma \circ \eta_{k-1}$?

3.16. Definition. We define the *total higher homotopy operation* for x to be the set $\langle Y_{k-1}^x \rangle$ of all homotopy classes of maps $\theta : Y(x) \rightarrow F^2$ with $\varphi := q \circ \theta \sim \iota \circ \sigma_{<k}^x$ and $\Psi' \circ \varphi = (\prod \sigma_{<k}^s) \circ \sigma_k^x$. We say that $\langle Y_{k-1}^x \rangle$ *vanishes at* such a $\theta : Y(x) \rightarrow F^2$ if also $\theta \sim \gamma \circ \eta_{k-1}$, and we say that $\langle Y_{k-1}^x \rangle$ *vanishes* if it vanishes at some θ , or equivalently, if this subset of the homotopy classes contains the specified class $[\gamma \circ \eta_{k-1}]$.

3.17. *Remark.* By Corollary A.10 and the fact that $\prod \text{forget}$ is a monomorphism, the homotopy classes $[\theta]$ making up $\langle Y_{k-1}^x \rangle$ are precisely those of the form $[\mu \circ \kappa]$ for a κ with $r'_k \circ \kappa = \sigma_k^x$ and $q \circ \mu \circ \kappa \sim \iota \circ \sigma_{<k}^x$. We may apply Corollary A.10 to the right vertical rectangle with horizontal fibrations, since by assumption the outer diagram commutes up to homotopy. This implies that the subset $\langle Y_{k-1}^x \rangle$ of Definition 3.16 is non-empty: i.e., some such φ and so some κ and in turn some θ , exist. Thus the total higher homotopy operation *is defined* at this point. The total higher homotopy operation *vanishes* if there is such a κ with $\mu \circ \kappa \sim \gamma \circ \eta_{k-1}$.

This somewhat incongruous terminology of “vanishing” is explained by the following.

3.18. **Proposition.** *Assume given $\tilde{Y} : \mathcal{J} \rightarrow \text{ho}(\mathcal{E})$ with \mathcal{J} a weak lattice, and $x \in \text{Obj } \mathcal{J}$ with $|x| > n \geq k \geq 2$, and let $Y_k : \partial \mathcal{J}_k^x \rightarrow \mathcal{E}$, Y_{k-1}^x , and \tilde{Y}_k^x be as in §3.1. We can then extend Y_k to $Y_k^x : \mathcal{J}_k^x \rightarrow \mathcal{E}$ if and only if $\langle Y_{k-1}^x \rangle$ vanishes.*

Proof. Note that $\prod \text{forget}$ is a monomorphism, since the class of monomorphisms is closed under categorical products and the inclusion of a limit into the underlying product is always a monomorphism. Thus, the last statement in Corollary A.10 implies each value θ of $\langle Y_{k-1}^x \rangle$ satisfies $\theta \sim \mu \circ \kappa$ for some κ with $r'_k \circ \kappa = \sigma_k^x$ and $q \circ \mu \circ \kappa \sim \iota \circ \sigma_{<k}^x$. As a consequence, if we assume $\langle Y_{k-1}^x \rangle$ vanishes at θ , then there is a choice of κ which satisfies $\mu \circ \kappa \sim \theta \sim \gamma \circ \eta_{k-1}$. After possibly altering κ (and so $\mu \circ \kappa$, φ , and $r'_k \kappa$) within their homotopy classes, by Lemma A.5 applied to the upper left square in (3.15) we then have a dotted map α_k with $p_{k-1} \circ \alpha_k = \eta_{k-1}$. Replacing σ_k^x with $r'_k \circ \kappa'$, we still have the same homotopy commutative diagram since $\kappa' \sim \kappa$. Moreover, if we disregard the dashed arrows κ and φ , the remaining solid diagram commutes on the nose, since $q \circ \mu \circ \kappa' = q \circ \gamma \circ \eta_{k-1} = \iota \circ \sigma_{<k}^x$, $s \circ \gamma \circ \eta_{k-1} = s \circ \mu \circ \kappa' = \prod m_{k-1}^s \circ (r'_k \circ \kappa')$, and the lower right square commutes by construction. The upper left pullback square in (3.12) then yields m_k^x and so defines the required extension $Y_k^x : \mathcal{J}_k^x \rightarrow \mathcal{E}$ by Lemma 2.7.

On the other hand, if $\langle Y_{k-1}^x \rangle$ does not vanish, then no choice of φ yields a map κ with $\mu \circ \kappa \sim \gamma \circ \eta_{k-1}$. Thus η_{k-1} does not lift over p_{k-1} , so no such map m_k^x exists. Thus there is no extension Y_k^x , by Lemma 2.7. \square

3.19. *Remark.* As a consequence of Proposition 3.18, our total higher homotopy operations are the obstructions to extending a certain choice of representative of a (k) -truncation of a homotopy commutative diagram in order to produce a $(k+1)$ -truncated representative. As in any obstruction theory, if the obstruction does not vanish at a certain stage, we must backtrack and reconsider earlier choices, to see whether by altering them we can make the new obstruction vanish at the stage in question.

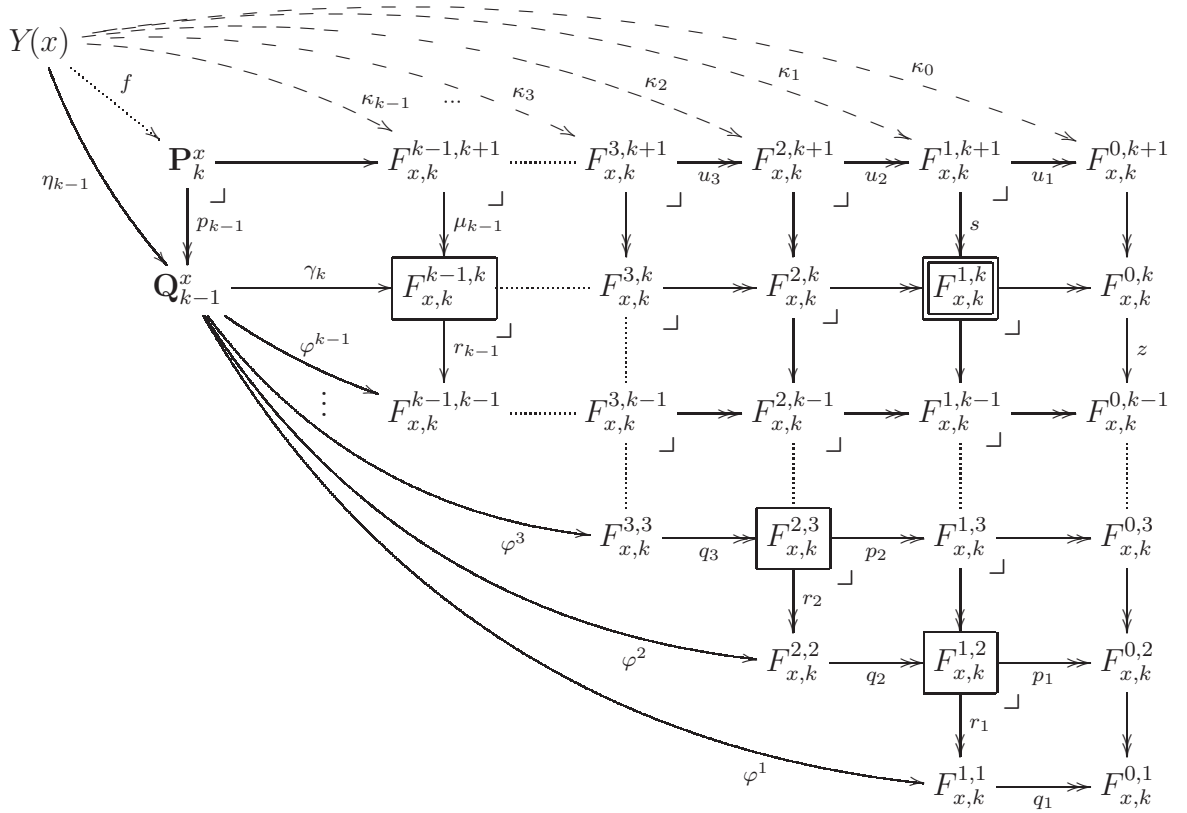
It is natural to ask more generally whether there is any $(k+1)$ -truncated (strict) representative of the given homotopy commutative diagram. Rephrasing this in our context, we ask whether for *any* choice of a (k) -truncated representative our obstruction sets contain the particular class which constitutes “vanishing”. In those cases where one can identify the ambient collections of homotopy classes of maps with one another, a positive answer to the more general question is equivalent to that particular class lying in the union of our obstruction subsets.

4. SEPARATING TOTAL OPERATIONS

At this level of generality, we cannot expect Proposition 3.18 to be of much help in practice: its purpose is to codify an obstruction theory for rectifying certain homotopy-commutative diagrams, using the double induction described in §3.1.

We now explain how to factor the right vertical map of (3.12) or (3.15) as a composite of (mostly) fibrations with a view to decomposing the obstruction $\langle Y_{k-1}^x \rangle$ into more tractable pieces. A key tool will be the following

4.1. The Separation Lemma. Assume given a solid commutative diagram as follows:



in which:

- all rectangles are pullbacks,
- the indicated maps are fibrations,
- the objects $F_{x,k}^{0,1}$ and $F_{x,k}^{j,k}$ are fibrant, and
- the vertical map z is a monomorphism.

Note that as a consequence, all objects in the diagram, other than possibly \mathbf{P}_k^x and \mathbf{Q}_{k-1}^x , are fibrant, while all vertical maps $F_{x,k}^{j,k} \rightarrow F_{x,k}^{j,k-1}$ are monomorphisms.

Denote the horizontal composite $\mathbf{Q}_{k-1}^x \rightarrow F_{x,k}^{1,k}$ by Γ_{k-1} and the vertical composite $F_{x,k}^{j,k+1} \rightarrow F_{x,k}^{j,j+1}$ by Φ^j , so $\Phi^{k-1} = \mu_{k-1}$, and also define φ^k to be the identity on \mathbf{Q}_{k-1}^x with $q_k = \gamma_k$. In addition, let β_j denote the vertical composite $F_{x,k}^{j,k+1} \rightarrow F_{x,k}^{j,j+2}$.

Now assume that we also have a map $\kappa_0 : Y(x) \rightarrow F_{x,k}^{0,k+1}$ such that $\Phi^0 \circ \kappa_0 \sim q_1 \circ \varphi^1 \circ \eta_{k-1}$. Then by Lemma A.5 applied to the right vertical rectangle (with

horizontal fibrations) there exists κ_1 with $u_1 \circ \kappa_1 = \kappa_0$ and $r_1 \circ \Phi^1 \circ \kappa_1 \sim \varphi^1 \circ \eta_{k-1}$. We are interested in decomposing the question of whether $s \circ \kappa_1 \sim \Gamma_{k-1} \circ \eta_{k-1}$ into a series of smaller questions. This question will become important once we demonstrate it to be an instance of asking for a total higher homotopy operation to vanish.

If it is true that $q_2 \circ \varphi^2 \circ \eta_{k-1} \sim \Phi^1 \circ \kappa_1$, then Lemma A.5 for the next vertical rectangle imply the existence of the dashed map κ_2 , such that $u_2 \circ \kappa_2 = \kappa_1$ and $r_2 \circ \Phi^2 \circ \kappa_2 \sim \varphi^2 \circ \eta_{k-1}$. Proceeding in this manner, and assuming the maps into the indicated “staircase terms” remain homotopic, even though we are only certain they agree up to homotopy after applying the relevant r_j , one produces κ_{k-1} such that $u_{k-1} \circ \kappa_{k-1} = \kappa_{k-2}$ and $r_{k-1} \circ \mu_{k-1} \circ \kappa_{k-1} = r_{k-1} \circ \Phi^{k-1} \circ \kappa_{k-1} \sim \varphi^{k-1} \circ \eta_{k-1}$, since $\mu_{k-1} = \Phi^{k-1}$. The final step is then to ask whether $\mu_{k-1} \kappa_{k-1} \sim q_k \circ \varphi^k \circ \eta_{k-1} = \gamma_k \circ \eta_{k-1}$, and if so, it follows by composing with most of the rectangle across the top of the diagram that $s \circ \kappa_1 \sim \Gamma_{k-1} \circ \eta_{k-1}$. In fact, we will be able to characterize when this procedure is possible in terms of obstructions, which we will view as “separated” versions of the total higher homotopy operation corresponding to the original question.

4.2. Separation Lemma. *Given the pullback grid as indicated above along with a choice of κ_0 satisfying $\Phi^0 \circ \kappa_0 \sim q_1 \circ \varphi^1 \circ \eta_{k-1}$, there exists the indicated κ_1 satisfying $u_1 \circ \kappa_1 = \kappa_0$ and $r_1 \circ \Phi^1 \circ \kappa_1 \sim \varphi^1$. Then κ_1 also satisfies the constraint $\Gamma_{k-1} \circ \eta_{k-1} \sim s \circ \kappa_1$ if and only if there exists an inductively chosen sequence of maps $\kappa_j : Y(x) \rightarrow F_{x,k}^{j,k+1}$ for $1 \leq j < k$ (starting with the given κ_1) satisfying*

$$(4.3) \quad q_{j+1} \circ \varphi^{j+1} \circ \eta_{k-1} \sim \Phi^j \circ \kappa_j \text{ and } \kappa_{j-1} = u_j \circ \kappa_j .$$

The reader should note that with our conventions, in the final case $j = k - 1$, the conclusion is that $\gamma_k \circ \eta_{k-1} \sim \mu_{k-1} \circ \kappa_{k-1}$.

4.4. Corollary. *If either of the two equivalent conditions of Lemma 4.2 holds, then by changing $\kappa_1 : Y(x) \rightarrow F_{x,k}^{1,k+1}$ within its homotopy class, (and so using its image under u_1 to replace κ_0 within its homotopy class as well) but without altering Γ_{k-1} , we can lift η_{k-1} to the dotted map $f : Y(x) \rightarrow \mathbf{P}_k^x$ shown in the diagram.*

Proof of Corollary 4.4. This follows from Lemma A.5, since the long horizontal rectangle across the top of the diagram is a pullback over a vertical fibration. \square

4.5. Remark. In the case we have in mind, $F_{x,k}^{0,1}$ will be a product of objects $Y(s)$, as will $F_{x,k}^{0,k+1}$, this time with $|s| = k$, and $F_{x,k}^{0,k}$ will be the corresponding product of matching objects \mathbf{M}_{k-1}^s , which will be fibrant by [Hir, Cor. 15.3.12 (2)]. Later, we will also have a pointed version, instead relying on pointed Reedy fibrancy and Lemma 2.22. Note that the second vertical map in each column of the grid is *not* required to be a fibration, but instead a monomorphism. Recall that monomorphisms are closed under base change and forgetting from a limit to the underlying product is always a monomorphism, so its first factor in any factorization must also be a monomorphism, hence these conditions will arise naturally in our cases of interest.

Proof of Lemma 4.2. We will repeatedly apply Lemma A.5 using a vertical rectangle with horizontal fibrations, with κ_{j-1} as p and $\varphi^j \circ \eta_{k-1}$ as f , showing κ_j exists and satisfies

$$(4.6) \quad r_j \circ \Phi^j \circ \kappa_j \sim \varphi^j \circ \eta_{k-1}$$

provided that

$$(4.7) \quad \Phi^{j-1} \circ \kappa_{j-1} \sim q_j \circ \varphi^j \circ \eta_{k-1} .$$

Since κ_1 exists by the assumption on κ_0 , which is really (4.7) for $j = 1$, we begin the induction by assuming κ_1 satisfies (4.7) for $j = 2$, in which case κ_2 exists and satisfies (4.6) for $j = 2$. Now assuming the stricter condition (4.7) for $j = 3$ implies the existence of κ_3 satisfying (4.6) for $j = 3$, and so on.

When our induction constructs κ_{k-1} satisfying (4.6) for $j = k - 1$, we assume the stricter condition (4.7) for $j = k$, which, as noted above, is the statement that $\gamma_k \circ \eta_{k-1} \sim \mu_{k-1} \circ \kappa_{k-1}$. However, then composing with the horizontal rectangle across the top of the diagram from μ_{k-1} to s implies the constraint $\Gamma_{k-1} \circ \eta_{k-1} \sim s \circ \kappa_1$.

On the other hand, if κ_1 satisfies the constraint $\Gamma_{k-1} \circ \eta_{k-1} \sim s \circ \kappa_1$, then we proceed by applying Lemma A.5 inductively to each square along the top of the diagram using κ_{j-1} for p and $\gamma_k \circ \eta_{k-1}$ followed by the composite $F_{x,k}^{k-1,k} \rightarrow F_{x,k}^{j,k}$ for f , exploiting the horizontal fibrations in the rectangle. This yields κ_j satisfying more than (4.7), since the homotopy relation is satisfied up in $F_{x,k}^{j,k}$, and this also implies (4.6) by construction. \square

Given $\tilde{Y}_k^x : \mathcal{J}_k^x \rightarrow \text{ho}(\mathcal{E})$, $Y_{k-1}^x : \mathcal{J}_{k-1}^x \rightarrow \mathcal{E}$ and a Reedy fibrant $Y_k : \partial \mathcal{J}_k^x \rightarrow \mathcal{E}$ as in §3.1(II), assume that we can refine diagram (3.15) (used to define $\langle Y_{k-1}^x \rangle$, the total higher homotopy operation for x) to a pullback grid as in Lemma 4.2. Then $F_{x,k}^{0,k+1} = \prod_{|s|=k} \prod_{\mathcal{J}(x,s)} Y(s)$ and $F_{x,k}^{0,1} = \prod_{|s|=k} \prod_{|v|<k} \prod_{\mathcal{J}(x,s)} \prod_{\mathcal{J}(s,v)} Y(v)$, in conformity with Remark 4.5, while one of the two equivalent conditions in Lemma 4.2 is the vanishing of the total higher homotopy operation. Recall the vertical composite $F_{x,k}^{j,k+1} \rightarrow F_{x,k}^{j,j}$ in this diagram is the composite $r_j \circ \Phi^j$.

4.8. Definition. If we can produce a pullback grid as in Lemma 4.2 refining diagram (3.15), then for each $1 \leq j < k$, the associated *separated higher homotopy operation for x of order $j + 1$* , denoted by $\langle Y_{k-1}^x \rangle^{j+1}$, is the set of homotopy classes of maps $\theta : Y(x) \rightarrow F_{x,k}^{j,j+1}$ such that:

- if $j < k - 1$, $r_j \circ \theta \sim \varphi^j \circ \eta_{k-1}$ and $p_j \circ \theta$ equals the composite

$$Y(x) \xrightarrow{\kappa_j} F_{x,k}^{j,k+1} \xrightarrow{\beta_j} F_{x,k}^{j,j+2} , \text{ or}$$

- if $j = k - 1$, $r_{k-1} \circ \theta \sim \varphi^{k-1} \circ \eta_{k-1}$ and $q_{k-1} \circ r_{k-1} \circ \theta = r_{k-2} \circ \mu_{k-1} \circ \kappa_{k-2}$ (using the notation of the top two rows of vertical arrows in §4.1).

We say that $\langle Y_{k-1}^x \rangle^{j+1}$ *vanishes* at $\theta : Y(x) \rightarrow F_{x,k}^{j,j+1}$ as above if $\theta \sim q_{j+1} \circ \varphi^{j+1} \circ \eta_{k-1}$ (in the notation of the Lemma), and we say it *vanishes* if it vanishes at some value.

Note that if we assume $q_j \circ \varphi^j \circ \eta_{k-1} \sim \Phi^{j-1} \circ \kappa_{j-1}$ then by Lemma A.5, κ_j exists, while $\langle Y_{k-1}^x \rangle^{j+1}$ can then be defined and by Corollary A.10 each θ^{j+1} will satisfy $\theta^{j+1} \sim \Phi^j \circ \kappa_j$. Thus, the vanishing of some value θ^{j+1} becomes equivalent to assuming $q_{j+1} \circ \varphi^{j+1} \circ \eta_{k-1} \sim \Phi^j \circ \kappa_j$. In other words, the vanishing of $\langle Y_{k-1}^x \rangle^{j+1}$ (*scilicet* at some map θ^{j+1}) is a necessary and sufficient condition for $\langle Y_{k-1}^x \rangle^{j+2}$ to be defined. (For comments on coherent vanishing, see Remark 4.9).

4.9. Remark. Those familiar with other definitions of higher homotopy operations may have expected a stricter, *coherent vanishing* condition in order for a subsequent

operation to be defined. However, this need not be made explicit in our framework, as it is a consequence of compatibility with previous choices.

For example, our version of the ordinary Toda bracket, denoted by $\langle \underline{f}, g, h \rangle$, is the obstruction to having a given (2)-truncated commuting diagram, satisfying just $f \circ g = *$, extending to a (3)-truncated diagram simply by altering h within its homotopy class to satisfy $g \circ h = *$, *without* altering g or f . Each choice of (2)-truncation (of which there is at least one, by Lemma 3.3) has an obstruction which is a subset of the homotopy classes of maps $[Y(3), \Omega'Y(0)]$. The usual Toda bracket is the union of these subsets: $\langle f, g, h \rangle = \cup \langle \underline{f}, g, h \rangle$. Thus, the more general existence question has a positive answer (i.e., a vanishing Toda bracket) exactly when, for *some* choice of (2)-truncation, the obstruction vanishes in our sense.

When defining our long Toda brackets, say $\langle \underline{f}, g, h, k \rangle$, we will begin by building the (3)-truncation only if the “front” bracket $\langle \underline{f}, g, h \rangle$ vanishes for some choice of (2)-truncation, and we make an appropriate choice of h . At that point, we only consider values of the “back” bracket $\langle g, h, k \rangle$ which use the previously chosen maps g and h . Thus asking that our obstruction vanish is automatically a kind of coherent vanishing. If it does not vanish, we must alter our choice of (3)-truncation until we obtain a coherently vanishing “back” bracket. Once again, one interpretation of the traditional long Toda bracket would then be a union $\cup \langle \underline{f}, g, h, k \rangle$, this time indexed over all possible strict rectifications of $\langle f, g, h \rangle$, so all such 3-truncations.

4.10. Applying the Separation Lemma. By Proposition 3.18, a necessary and sufficient condition for the inner induction step in §3.1 is the vanishing of the total higher homotopy operation $\langle Y_{k-1}^x \rangle$ – that is, by Lemma 2.7, the existence of a suitable map m_k^x in (3.12). According to Proposition 3.11, this in turn is equivalent to having a map κ in (3.15) satisfying a certain homotopy-commutativity requirement.

In order to apply Lemma 4.2, we need to break up the lower right square of (3.15) into a pullback grid (which then induces a horizontal decomposition of the upper right square). This will be done by decomposing the lower right vertical map, which is a product (over $\mathcal{J}(x, s)$, with $|s| = k$) of the forgetful maps $\mathbf{M}_{k-1}^s \rightarrow \prod_{\mathcal{J}(s,v)} Y(v)$ (with $|v| \leq k - 1$). The target of this forgetful map can be further broken up as in (2.9) to a product over $|v| = k - 1$ and one over $|v| < k - 1$.

4.11. Example. When $|s| = 3$, we factor the top horizontal arrow in (2.9) as a weak equivalence followed by a fibration:

$$(4.12) \quad \mathbf{M}_2^s \xrightarrow{\simeq} F_{s,2}^{1,3} \twoheadrightarrow \prod_{\substack{\mathcal{J}(s,v) \\ |v|=2}} Y(v) .$$

Similarly, we can factor the map in (3.10) from \mathbf{N}_1^s to the product of lower degree copies of $Y(t)$ to produce a factorization

$$(4.13) \quad \mathbf{M}_2^s \rightarrow \mathbf{N}_1^s \xrightarrow{\simeq} G_{s,2}^{1,3} \twoheadrightarrow \prod_{\substack{\mathcal{J}(s,t) \\ |t|<2}} Y(t)$$

for the lower degree forgetful map in (2.9). Together these yield a factorization of the full forgetful map:

$$(4.14) \quad \mathbf{M}_2^s \rightarrow F_{s,2}^{1,3} \times G_{s,2}^{1,3} \twoheadrightarrow \prod_{\substack{\mathcal{J}(s,v) \\ |v|<3}} Y(v) ,$$

with the second map a fibration and the first necessarily a monomorphism, since the composite is a monomorphism as the inclusion of a limit into the underlying product. Precomposing with structure maps $Y(s) \twoheadrightarrow \mathbf{M}_2^s$ (which are fibrations, because we assumed our diagram Y was Reedy fibrant) yields

$$(4.15) \quad \prod_{\substack{\mathcal{J}(x,s) \\ |s|=3}} Y(s) \twoheadrightarrow \prod_{\substack{\mathcal{J}(x,s) \\ |s|=3}} \mathbf{M}_2^s \rightarrow \prod_{\substack{\mathcal{J}(x,s) \\ |s|=3}} (F_{s,2}^{1,3} \times G_{s,2}^{1,3}) \twoheadrightarrow \prod_{\substack{\mathcal{J}(x,s) \\ |s|=3}} \prod_{\substack{\mathcal{J}(s,v) \\ |v|\leq 2}} Y(v) .$$

This is a refinement of the right column in (3.15), in which all but the second map is a fibration, and that second map is a monomorphism.

Taking (4.15) as the right column in the diagram of Lemma 4.2, we pull it back along the bottom row of (3.15) to get the two right columns of the intended diagram, as shown in (4.16).

For the next column, note that the two maps out of \mathbf{Q}_2^x in (3.15) induce a map $\mathbf{Q}_2^x \rightarrow F_{x,3}^{1,2}$, in the notation of (4.16). Factoring this as an acyclic cofibration followed by a fibration:

$$\mathbf{Q}_2^x \xrightarrow{\sim} F_{x,3}^{2,2} \twoheadrightarrow F_{x,3}^{1,2}$$

and taking pullbacks yields the required pullback grid:

$$(4.16) \quad \begin{array}{ccccccc} \mathbf{P}_3^x & \longrightarrow & F_{x,3}^{2,4} & \longrightarrow & F_{x,3}^{1,4} & \longrightarrow & \prod_{\substack{\mathcal{J}(x,s) \\ |s|=3}} Y(s) \\ \downarrow p_2 & \lrcorner & \downarrow & \lrcorner & \downarrow & \lrcorner & \downarrow \\ \mathbf{Q}_2^x & \longrightarrow & F_{x,3}^{2,3} & \longrightarrow & F_{x,3}^{1,3} & \longrightarrow & \prod_{\substack{\mathcal{J}(x,s) \\ |s|=3}} \mathbf{M}_2^s \\ & \searrow \sim & \downarrow & \lrcorner & \downarrow & \lrcorner & \downarrow \\ & & F_{x,3}^{2,2} & \longrightarrow & F_{x,3}^{1,2} & \longrightarrow & \prod_{\substack{\mathcal{J}(x,s) \\ |s|=3}} F_{s,2}^{1,3} \times G_{s,2}^{1,3} \\ & & & & \downarrow & & \downarrow \\ & & & & F_{x,3}^{1,1} & \longrightarrow & \prod_{\substack{\mathcal{J}(x,s) \\ |s|=3}} \prod_{\substack{\mathcal{J}(s,v) \\ |v|<3}} Y(v) . \end{array}$$

Note that $F_{x,3}^{1,1}$ is the F^1 of Definition 3.16, while $F_{x,3}^{1,3}$ is F^2 – that is, the target of our total higher operation θ . Separation Lemma 4.2 tells us that this operation vanishes precisely when the following two “separated” operations vanish:

- (a) The first, landing in $F_{x,3}^{1,2}$, being defined by the two composite maps from $Y(x)$;

- (b) The vanishing of the first yields a second map into $F_{x,3}^{2,3}$, where this second map defines the values of the second of the “separated” operations, and the formally defined first map defines the possible vanishing of such operations.

This example is indicative of the general pattern, described by:

4.17. **Lemma.** *Assume given $\tilde{Y}_k^x : \mathcal{J}_k^x \rightarrow \text{ho}(\mathcal{E})$, $Y_{k-1}^x : \mathcal{J}_{k-1}^x \rightarrow \mathcal{E}$ and a Reedy fibrant $Y_k : \partial\mathcal{J}_k^x \rightarrow \mathcal{E}$ as in §3.1(II). If for each \mathbf{M}_{k-1}^s we have a pullback grid as in Lemma 4.2, these induce a pullback grid:*

$$(4.18) \quad \begin{array}{ccccccc} \mathbf{P}_k^x & \longrightarrow & F_{x,k}^{k-1,k+1} & \twoheadrightarrow & F_{x,k}^{k-2,k+1} & \cdots \twoheadrightarrow & F_{x,k}^{1,k+1} & \longrightarrow & \prod_{\substack{\mathcal{J}(x,s) \\ |s|=k}} Y(s) \\ \downarrow p_{k-1} & & \downarrow & & \downarrow & & \downarrow & & \downarrow \\ \mathbf{Q}_{k-1}^x & \longrightarrow & F_{x,k}^{k-1,k} & \longrightarrow & F_{x,k}^{k-2,k} & \cdots \longrightarrow & F_{x,k}^{1,k} & \twoheadrightarrow & \prod_{\substack{\mathcal{J}(x,s) \\ |s|=k}} \mathbf{M}_{k-1}^s \\ & & \downarrow & & \downarrow & & \downarrow & & \downarrow \\ & & F_{x,k}^{k-1,k-1} & \longrightarrow & F_{x,k}^{k-2,k-1} & \cdots \longrightarrow & F_{x,k}^{1,k-1} & \twoheadrightarrow & \prod_{\substack{\mathcal{J}(x,s) \\ |s|=k}} F_{s,k-1}^{k-2,k} \times G_{s,k-1}^{k-2,k} \\ & & \downarrow & & \downarrow & & \downarrow & & \downarrow \\ & & & & & & F_{x,k}^{1,2} & \twoheadrightarrow & \prod_{\substack{\mathcal{J}(x,s) \\ |s|=k}} F_{s,k-1}^{1,k} \times G_{s,k-1}^{1,k} \\ & & & & & & \downarrow & & \downarrow \\ \prod_{\substack{\mathcal{J}(x,t) \\ |t|<k}} Y(t) & \xrightarrow{\sim} & F_{x,k}^{1,1} & \twoheadrightarrow & \prod_{\substack{\mathcal{J}(x,s) \\ |s|=k}} \prod_{\substack{\mathcal{J}(s,v) \\ |v|<k}} Y(v) \end{array}$$

suitable for lifting $\eta_{k-1} : Y(x) \rightarrow \mathbf{Q}_{k-1}^x$ to \mathbf{P}_k^x .

Note that the two top right slots in (4.18) are consistent with Remark 4.5.

Proof. We prove the Lemma by induction on k , beginning with (4.16) for $k = 3$. We start with a decomposition

$$(4.19) \quad \mathbf{M}_{k-1}^s \rightarrow F_{s,k-1}^{k-2,k} \twoheadrightarrow \cdots \twoheadrightarrow F_{s,k-1}^{2,k} \twoheadrightarrow F_{s,k-1}^{1,k} \twoheadrightarrow \prod_{\substack{\mathcal{J}(s,v) \\ |v|=k-1}} Y(v)$$

of the top map in (2.9), where all but the first map are fibrations; this first map is a monomorphism since the composite is such, being the inclusion of a limit into the underlying product. This is generated using Step $k - 1$ in the induction, by precomposing the top row in (4.18) for $k - 1$ with the map $\mathbf{M}_{k-1}^s \rightarrow \mathbf{P}_{k-1}^s$ of (3.12).

For $\mathbf{N}_{k-1}^s \rightarrow \mathbf{Q}_{k-1}^s \rightarrow \prod_{|s|=k} \mathbf{M}_{k-1}^s$ (the middle row of (3.12)), we pull back the right column of (4.18) for $k - 1$ along the generalized diagonal Ψ of (2.4) to

obtain a sequence of pullbacks

$$(4.20) \quad \begin{array}{ccc} G_{s,k-1}^{j,k} & \xrightarrow{\quad} & \prod_{\substack{\mathcal{J}(s,v) \\ |v|=k-1}} F_{v,k-2}^{j,k-1} \times G_{v,k-2}^{j,k-1} \\ \downarrow \lrcorner & & \downarrow \\ \prod_{\substack{\mathcal{J}(s,t) \\ |t|<k-1}} Y(t) & \xrightarrow{\Psi} & \prod_{\substack{\mathcal{J}(s,v) \\ |v|=k-1}} \prod_{\substack{\mathcal{J}(v,u) \\ |u|<k-1}} Y(u), \end{array}$$

for each $1 \leq j \leq k-3$, where the right vertical map is a fibration by the induction assumption.

For $j = k-2$, we instead factor the composite of the top row in:

$$(4.21) \quad \begin{array}{ccccc} \mathbf{N}_{k-2}^s & \xrightarrow{q_{k-2}} & \mathbf{Q}_{k-2}^s & \xrightarrow{\quad} & G_{s,k-1}^{k-3,k} \\ & \searrow \simeq & & \nearrow r & \\ & & G_{s,k-1}^{k-2,k} & & \end{array}$$

into an acyclic cofibration i followed by a fibration r , as shown (where the top maps are those of (3.12) and (4.18) for $k-1$, respectively). Precomposing this with the map $\mathbf{M}_{k-1}^s \rightarrow \mathbf{N}_{k-2}^s$ of (3.12) and then taking products as in Example 4.11 yields the desired factorization of the forgetful map:

$$(4.22) \quad \mathbf{M}_{k-1}^s \rightarrow F_{s,k-1}^{k-2,k} \times G_{s,k-1}^{k-2,k} \cdots \twoheadrightarrow F_{s,k-1}^{2,k} \times G_{s,k-1}^{2,k} \twoheadrightarrow F_{s,k-1}^{1,k} \times G_{s,k-1}^{1,k} \twoheadrightarrow \prod_{\substack{\mathcal{J}(s,v) \\ |v|<k}} Y(v).$$

Now factor the next generalized diagonal Ψ_{k-1}^x as an acyclic cofibration followed by a fibration $p^{1,1} : F_{x,k}^{1,1} \twoheadrightarrow \prod_{\substack{\mathcal{J}(s,v) \\ |v|<k}} Y(v)$. Pulling back the tower (4.22) along $p^{1,1}$

yields the second column on the right in our new grid (4.18). The total higher operation will then land in the twice-boxed pullback object $F_{x,k}^{1,k}$.

To construct the j -th column from the right ($j \geq 2$), with entries $F_{x,k}^{j+1,\bullet}$, factor the previously defined map $\mathbf{Q}_{k-1}^x \rightarrow F_{x,k}^{j,j+1}$ as an acyclic cofibration $\mathbf{Q}_{k-1}^x \xrightarrow{\sim} F_{x,k}^{j+1,j+1}$ followed by a fibration $p : F_{x,k}^{j+1,j+1} \twoheadrightarrow F_{x,k}^{j,j+1}$. We then pull back the $(j-1)$ -st column along p to form the j -th column of (4.18).

Note that upon completion of this process, the map $\mathbf{Q}_{k-1}^x \rightarrow F_{x,k}^{k-1,k}$ need not be a fibration, but the vertical maps in the upper left square are fibrations, by successive base-change from the product of maps $Y(s) \twoheadrightarrow \mathbf{M}_{k-1}^s$, each of which is a fibration by Reedy fibrancy of Y_k . \square

4.23. Definition. The diagram of Lemma 4.2, when constructed inductively as in Lemma 4.17, will be called a *separation grid* for Y_k .

Combining Lemma 4.17 with the Separation Lemma 4.2 and Corollary 4.4 yields the following refinement of Proposition 3.18:

4.24. **Theorem.** *Assume given $\tilde{Y}_k^x : \mathcal{J}_k^x \rightarrow \text{ho}(\mathcal{E})$, $Y_{k-1}^x : \mathcal{J}_{k-1}^x \rightarrow \mathcal{E}$ and a Reedy fibrant $Y_k : \partial\mathcal{J}_k^x \rightarrow \mathcal{E}$ as in §3.1(II) for $|x| > n \geq k \geq 2$. Then our total higher homotopy operation separates into a sequence of $k - 1$ obstructions and the following are equivalent:*

- (1) *A further extension to $Y_k^x : \mathcal{J}_k^x \rightarrow \mathcal{E}$ exists;*
- (2) *The total operation $\langle Y_{k-1}^x \rangle$ vanishes;*
- (3) *The associated sequence $\langle Y_{k-1}^x \rangle^{j+1}$ ($1 \leq j < k$) of separated higher homotopy operations of §4.8 vanish (so in particular each in turn is defined).*

4.25. *Remark.* The machinery of the separated higher homotopy operations has been formulated to agree with (long) Toda brackets in pointed cases. We shall deal with these in Section 7, after a more detailed study of the special issues involving pointed diagrams. In particular, the role of \mathbf{Q}_{k-1}^x will be played by a point, so the weak equivalence followed by a fibration factorizations out of it will be provided by taking reduced path objects on the target. However, we first present a simple example of the (less familiar) general unpointed situation before focusing on the details for the pointed situation.

5. RIGIDIFYING SIMPLICIAL DIAGRAMS UP TO HOMOTOPY

A commonly occurring instance of a homotopy-commutative diagram which needs to be rectified are restricted (co)simplicial objects, also known as Δ -simplicial objects (i.e., without (co)degeneracies). Examples appear in [BJT1, §6], [BJT3, §4.1], [B2, §5], and implicitly in [May, Se, Pr], and more. We now show how the double inductive approach described in §3.1 applies to such diagrams.

We denote the objects of the simplicial indexing category Δ by $\mathbf{0}, \mathbf{1}, \dots, \mathbf{n}, \dots$, with the value of $Y : \Delta \rightarrow \mathcal{E}$ at \mathbf{n} thus denoted by $Y(\mathbf{n})$ instead of the usual Y_n .

5.1. 1-Truncated Δ -Simplicial Objects. We start the outer induction with $n = 0$. Our 1-truncated diagram in $\text{ho}(\mathcal{E})$ then consists of a pair of parallel arrows, so we have only the stage $k = 0$ in the inner induction: this means choosing representatives for each of the two face maps $d_0, d_1 : Y(\mathbf{1}) \rightarrow Y(\mathbf{0})$. Making this Reedy fibrant means changing the combined map $(d_0, d_1) : Y(\mathbf{1}) \rightarrow Y(\mathbf{0})^{d_0} \times Y(\mathbf{0})^{d_1}$ into a fibration (i.e., factoring this as $Y(\mathbf{1}) \xrightarrow{\cong} Y(\mathbf{1})' \twoheadrightarrow Y(\mathbf{0}) \times Y(\mathbf{0})$ and replacing $Y(\mathbf{1})$ by $Y(\mathbf{1})'$).

5.2. 2-Truncated Δ -Simplicial Objects. For $n = 1$, x is $\mathbf{2}$ and $Y_1 : \partial\mathcal{J}_0^1 \rightarrow \mathcal{E}$ is the Reedy fibrant diagram just constructed.

To define $Y_0^2 : \mathcal{J}_0^2 \rightarrow \mathcal{E}$ at stage $k = 0$ in the inner induction, pick representatives for each of the full length composites: in this case, the three maps $Y(\mathbf{2}) \rightarrow Y(\mathbf{0})$ denoted by d_0d_1 , d_0d_2 , and d_1d_2 in canonical form. This means \mathbf{M}_0^2 is the product of three copies of $Y(\mathbf{0})$ indexed by d_id_j ($0 \leq i < j \leq 2$), and our choice of representatives yields a single map m_0^2 into the product.

At stage $k = 1$, we must first choose representatives for the components of $\sigma_1^2(\tilde{Y}_1^2)$ – that is, for the maps d_0 , d_1 , and $d_2 : Y(\mathbf{2}) \rightarrow Y(\mathbf{1})$, which are all the maps $\mathbf{2} \rightarrow \mathbf{1}$ in \mathcal{J}). The generalized diagonal map $\Psi = \Psi_1^2$ of (2.4) takes $Y(\mathbf{0})^{d_id_j}$ ($i < j$) to the product $Y(\mathbf{0})^{d_id_j} \times Y(\mathbf{0})^{d_{j-1}d_i}$, in accordance with the simplicial identities. Note that the target of σ_1^2 is $\prod_{0 \leq j \leq 2} Y(\mathbf{1})^{d_j}$.

Thus we have a pair of maps into a pullback diagram:

$$(5.3) \quad \begin{array}{ccc} Y(\mathbf{2}) & \overset{\sigma_1^2(\tilde{Y}_1^2)=(d_0,d_1,d_2)}{\dashrightarrow} & \prod_{j \leq 2} Y(\mathbf{1})^{d_j} \\ \downarrow m_1^2 & \dashrightarrow & \downarrow \\ M_1^2 & \xrightarrow{\lrcorner} & \prod_{j \leq 2} Y(\mathbf{1})^{d_j} \\ \downarrow m_0^2 & & \downarrow \\ M_0^2 & \xlongequal{\quad} \prod_{i < j \leq 2} Y(\mathbf{0})^{d_i d_j} \xrightarrow{\Psi} \prod_{j \leq 2} \prod_{i \leq 1} Y(\mathbf{0})^{d_i d_j} . \end{array}$$

where the outer diagram commutes up to homotopy (for any choice of representatives for d_0 , d_1 , and d_2). The dotted map exists by Lemma A.5 (after possibly altering the dashed map within its homotopy class), yielding a full 2-truncated Δ -simplicial object (which rectifies \tilde{Y}_1^2) by Lemma 2.7. Changing m_1^2 into a fibration provides us with a Reedy fibrant replacement $Y_2 : \partial \mathcal{J}_2 \rightarrow \mathcal{E}$.

5.4. 3-Truncated Δ -Simplicial Objects. At stage $n = 2$ (with $x = \mathbf{3}$), for the first time we are in the situation of §3.16, somewhat simplified by the fact that we have a single object \mathbf{n} in each grading n of $\mathcal{J} = \Delta$. In particular, we will have no separated operations yet.

In the inner induction, for $k = 0$, we choose representatives for each full length map in \tilde{Y}_2^3 to obtain Y_0^3 ; the full length composites are the four maps $d_i d_j d_\ell$ with $0 \leq i < j < \ell \leq 3$, so M_0^3 is a product of four copies of $Y(\mathbf{0})$ indexed by these maps, and the generalized diagonal of (2.4) takes each copy of $Y(\mathbf{0})^{d_i d_j d_\ell}$ to the product

$$Y(\mathbf{0})^{d_i d_j d_\ell} \times Y(\mathbf{0})^{d_{j-1} d_i d_\ell} \times Y(\mathbf{0})^{d_{\ell-2} d_i d_j} .$$

We make an initial choice (to be modified below) of $\sigma_1^2(\tilde{Y}_1^2)$ (i.e., of each composite $d_j d_\ell : \mathbf{3} \rightarrow \mathbf{1}$ for $0 \leq j < \ell \leq 3$ within its homotopy class). Again this yields a pair of maps into a pullback diagram:

$$(5.5) \quad \begin{array}{ccc} Y(\mathbf{3}) & \overset{\sigma_{=1}^2(\tilde{Y}_1^2)}{\dashrightarrow} & \prod_{j < k \leq 3} Y(\mathbf{1})^{d_j d_\ell} \\ \downarrow m_1^3 & \dashrightarrow & \downarrow \\ M_1^3 & \xrightarrow{\lrcorner} & \prod_{j < k \leq 3} Y(\mathbf{1})^{d_j d_\ell} \\ \downarrow m_0^3 & & \downarrow \\ M_0^3 & \xlongequal{\quad} \prod_{i < j < k \leq 3} Y(\mathbf{0})^{d_i d_j d_\ell} \xrightarrow{\Psi_1^3} \prod_{j < \ell \leq 3} \prod_{i \leq 1} Y(\mathbf{0})^{d_i d_j d_\ell} . \end{array}$$

where the right vertical is a product of fibrations $Y(\mathbf{1}) \rightarrow M_0^1 = \prod_{i \leq 1} Y(\mathbf{0})^{d_i}$ (by Reedy fibrancy of Y_2).

Since \tilde{Y}_2^3 is homotopy commutative, by Lemma A.5 we obtain a dotted map m_1^3 (after altering the dashed map – that is, our choice for each $d_j d_\ell$ – within its homotopy class). By Lemma 2.7 this yields Y_1^3 , still representing \tilde{Y}_2^3 .

It is at stage $k = 2$ in the inner induction that we first encounter a possible obstruction: we must now choose representatives for $d_\ell : \mathbf{3} \rightarrow \mathbf{2}$ ($0 \leq \ell \leq 3$) in the homotopy class given by $\tilde{Y}_2^{\mathbf{3}}$.

As in (2.9), we know that the target of the forgetful map from $\mathbf{M}_1^{\mathbf{3}}$ is the product of the lower left and upper right corners of (5.5). Thus $\Psi = \Psi_2^{\mathbf{3}}$ is a product of two maps: the first taking each factor $Y(\mathbf{1})^{d_j d_\ell}$ ($0 \leq j < \ell \leq 3$) diagonally to a product $Y(\mathbf{1})^{d_j d_\ell} \times Y(\mathbf{1})^{d_{\ell-1} d_j}$, and the second taking $Y(\mathbf{0})^{d_i d_j d_\ell}$ ($0 \leq i < j < \ell \leq 3$) diagonally to the product $Y(\mathbf{0})^{d_i d_j d_\ell} \times Y(\mathbf{0})^{d_i d_{\ell-1} d_j} \times Y(\mathbf{0})^{d_{j-1} d_{\ell-1} d_i}$.

As in §3.16, we now factor Ψ as a trivial cofibration to F^1 followed by a fibration Ψ' , and pull back the product of the forgetful maps

$$\Psi_2^{\mathbf{3}} : \mathbf{M}_1^2 \rightarrow \prod_{j \leq 2} Y(\mathbf{1})^{d_j} \times \prod_{i < j \leq 2} Y(\mathbf{0})^{d_i d_j}$$

as in (5.3), indexed by the first face maps $d_\ell : \mathbf{3} \rightarrow \mathbf{2}$ ($0 \leq \ell \leq 3$) along Ψ' to obtain a “potential mapping diagram” as in (3.15):

$$\begin{array}{ccccc}
 Y(\mathbf{3}) & \xrightarrow{\sigma_2^{\mathbf{3}}(\tilde{Y}_2^{\mathbf{3}})=(d_0, d_1, d_2, d_3)} & & & \\
 \downarrow \alpha_2 & \dashrightarrow \kappa & & & \\
 & & F^3 & \xrightarrow{r'_2} & \prod_{\ell \leq 3} Y(\mathbf{2}) \\
 \downarrow p_1 & \dashrightarrow \eta_1 & \downarrow \mu & & \downarrow \prod m_{k-1}^s \\
 & & F^2 & \xrightarrow{s} & \prod_{\ell \leq 3} \mathbf{M}_1^2 \\
 \downarrow \sigma_{<2}^{\mathbf{3}}(Y_1^{\mathbf{3}}) & \dashrightarrow \varphi & \downarrow q & & \downarrow \\
 \prod_{j < \ell \leq 3} Y(\mathbf{1})^{d_j d_\ell} \times \prod_{i < j < \ell \leq 3} Y(\mathbf{0})^{d_i d_j d_\ell} & \xrightarrow{\gamma} & F^1 & \xrightarrow{\Psi'} & \prod_{\ell \leq 3} \left(\prod_{j \leq 2} Y(\mathbf{1})^{d_j d_\ell} \times \prod_{i < j \leq 2} Y(\mathbf{0})^{d_i d_j d_\ell} \right)
 \end{array}$$

Note that as in §3.16, we may choose F^1 to be a product of free path spaces, so we can think of φ as a choice of homotopies between the various decompositions in Y_2 of maps $\mathbf{3} \rightarrow \mathbf{0}$ in Δ .

As the right vertical rectangular pullback has horizontal fibrations, we can apply Lemma A.5 and the fact that the original outermost diagram commutes up to homotopy (because $\tilde{Y}_2^{\mathbf{3}}$ is homotopy commutative) to deduce that there is a map φ in the correct homotopy class, yielding κ as indicated.

The question is whether $\mu\kappa \sim \gamma\eta_1$. By Corollary A.10, our secondary operation consists precisely of those $[\theta]$ satisfying $\theta \sim \mu \circ \kappa$. Thus, the question is answered in the affirmative precisely when our secondary operation $\langle Y_2^{\mathbf{3}} \rangle$ vanishes. In that case, by Lemma A.5 applied to the upper left square, with μ a fibration, we can find $\kappa' \sim \kappa$ satisfying $\mu \circ \kappa' = \gamma \circ \eta_1$, so inducing the dotted α_2 by the pullback property. We then alter the map labeled (d_0, d_1, d_2, d_3) within its homotopy class

by instead using $r'_2 \circ \kappa'$, which will make the entire diagram now commute, since

$$\prod m_{k-1}^s \circ (r'_2 \circ \kappa') = s \circ \mu \circ \kappa' = s \circ \gamma \circ \eta_1$$

and $q \circ \mu \circ \kappa' = q \circ \gamma \circ \eta_1 = \iota \circ \sigma_{<2}^3$. Thus, we obtain a full 3-truncated Δ -simplicial object Y_3 (if we wish to proceed further, we take a Reedy fibrant replacement).

If $\langle Y_2^3 \rangle$ does not vanish, then there is no way to extend this Y_2 to a full 3-truncated object.

5.6. *Remark.* As with any obstruction theory, when $\langle Y_2^3 \rangle$ does not vanish, we need to backtrack, and see if we can get our obstruction to vanish by modifying previous choices. We observe that in special cases, given a truncated Δ -simplicial object, there is a formal procedure for adding degeneracies to obtain a full (similarly truncated) simplicial object (see, e.g., [B1, §6]).

6. POINTED HIGHER OPERATIONS

Most familiar examples of higher homotopy operations are pointed, so we now describe the modifications needed in our general setup when the indexing category \mathcal{J} , as well as the model category \mathcal{E} , are pointed (see §2.B). This will also cover “hybrid” cases, where certain composites in the diagram are required to be *zero* in \mathcal{E} , rather than just null homotopic.

6.1. **Lemma.** *If \mathcal{E}_* is a pointed model category, $\tilde{Y} : \mathcal{J} \rightarrow \text{ho}(\mathcal{E}_*)$ a pointed diagram, and $x \in \text{Obj } \mathcal{J}$ with $|x| > 0$, then*

- (a) *Any choice of a representative $Y_0^x(g)$ of $\tilde{Y}(g)$ for every $g \in \tilde{\mathbf{J}}_0^x$ yields a lifting of $\tilde{Y}|_{\mathcal{J}_0^x}$ to $Y_0^x : \mathcal{J}_0^x \rightarrow \mathcal{E}_*$.*
- (b) *Any pointed Reedy fibrant $Y_1 : \partial\mathcal{J}_1^x \rightarrow \mathcal{E}_*$ as in §3.1(II) has a pointwise extension to a functor $Y_1^x : \mathcal{J}_1^x \rightarrow \mathcal{E}_*$ which lifts \tilde{Y}_1^x .*

Proof. For (a), note that if $g \in \overline{\mathcal{J}}$, $Y(g)$ must be the zero map, but otherwise any choice of lifting will do, since \mathcal{J}_0^x has no non-trivial compositions. For (b), follow the proof of Lemma 3.3 with \mathbf{J} replacing \mathcal{J} , using reduced matching spaces and Definition 2.21 for the fibrancy. \square

We also have the following version of Lemma 3.9:

6.2. **Lemma.** *Assuming $2 \leq k \leq n < |x|$, any pointed functor $Y : \mathcal{J}_n \rightarrow \mathcal{E}_*$ with a pointed extension to \mathcal{J}_{k-1}^x induces a pullback grid with natural dashed maps :*

$$(6.3) \quad \begin{array}{ccccc} Y(x) & & & & \\ \beta_{k-1} \swarrow & \eta_{k-1} \text{ (dashed)} & \rho_{k-1} \text{ (solid)} & & \\ \overline{\mathbf{N}}_{k-1}^x & \xrightarrow{q_{k-1}} & \overline{\mathbf{Q}}_{k-1}^x & \longrightarrow & \prod_{|s|=k} \overline{\mathbf{M}}_{k-1}^s \\ \downarrow \lrcorner & & \downarrow \lrcorner & & \downarrow \Pi_{\tilde{\mathbf{J}}(x,s)} \overline{\text{forget}} \\ \overline{\mathbf{M}}_{k-1}^x & \xrightarrow{\overline{\text{forget}}} & \prod_{\substack{\tilde{\mathbf{J}}(x,t) \\ |t|<k}} Y(t) & \xrightarrow{\overline{\Psi}} & \prod_{|s|=k} \prod_{|v|<k} Y(v) \end{array}$$

We then deduce the following analogue of Proposition 3.11 (with a similar proof):

6.4. Proposition. *Assuming $2 \leq k \leq n < |x|$, any pointed functor $Y_k : \partial\mathcal{J}_k^x \rightarrow \mathcal{E}$ as in §3.1 induces maps into a pullback grid:*

$$(6.5) \quad \begin{array}{ccccc} Y(x) & \xrightarrow{\quad \sigma_{=k}^x(\tilde{Y}_k^x) \quad} & & & \prod_{|s|=k} Y(s) \\ & \searrow \eta_{k-1} & \xrightarrow{\quad \overline{\mathbf{m}}_k^x \quad} & \xrightarrow{\quad r_k \quad} & \downarrow \prod \overline{\mathbf{m}}_{k-1}^s \\ & \mathbf{M}_k^x & \xrightarrow{\quad \quad} & \mathbf{P}_k^x & \prod_{|s|=k} \mathbf{M}_{k-1}^s \\ & \downarrow \beta_{k-1} & \lrcorner & \downarrow p_{k-1} & \downarrow \prod \overline{\text{forget}} \\ & \mathbf{N}_{k-1}^x & \xrightarrow{\quad q_{k-1} \quad} & \mathbf{Q}_{k-1}^x & \prod_{|s|=k} \mathbf{M}_{k-1}^s \\ & \downarrow \overline{\text{forget}} & \lrcorner & \downarrow & \downarrow \prod \overline{\text{forget}} \\ & \mathbf{M}_{k-1}^x & \xrightarrow{\quad \overline{\text{forget}} \quad} & \prod_{|t|<k} Y(t) & \xrightarrow{\quad \overline{\Psi} \quad} & \prod_{|s|=k} \prod_{|v|<k} Y(v) \end{array}$$

Again, the dashed map only makes the outermost diagram commute up to homotopy.

Furthermore, the dotted map $\overline{\mathbf{m}}_k^x$ exists (after altering $\sigma_{=k}^x(\tilde{Y}_k^x)$ within its homotopy class) if and only if there is a dotted map α_k such that $p_{k-1}\alpha_k = \eta_{k-1}$ and $r_k\alpha_k \simeq \sigma_{=k}^x(\tilde{Y}_k^x)$.

With this at hand, we may modify Definition 3.16 as follows to obtain a sequence of obstructions to extending pointed diagrams:

6.6. Total Pointed Higher Homotopy Operations. Assume given pointed functors $\tilde{Y}_k^x : \mathcal{J}_k^x \rightarrow \text{ho}(\mathcal{E}_*)$, $Y_{k-1}^x : \mathcal{J}_{k-1}^x \rightarrow \mathcal{E}_*$ and a pointed Reedy fibrant $Y_k : \partial\mathcal{J}_k^x \rightarrow \mathcal{E}_*$ as in §3.1(II). This means each $\overline{\mathbf{m}}_{k-1}^s : Y(s) \rightarrow \overline{\mathbf{M}}_{k-1}^s$ is a fibration. Factor $\overline{\Psi} = \overline{\Psi}_k^x$ (see Lemma 2.18) as a weak equivalence followed by a fibration $\overline{\Psi}'$, and pull back the right column of (6.5) along $\overline{\Psi}'$ to obtain the following pullback

grid:

$$(6.7) \quad \begin{array}{ccccc} Y(x) & & & & \\ \downarrow \sigma_k^x(Y_k^x) & \xrightarrow{\sigma_k^x(\tilde{Y}_k^x)} & & & \prod_{|s|=k} Y(s) \\ \downarrow \alpha_k & \searrow \kappa & & & \downarrow \tilde{\mathbf{J}}(x,s) \\ \bar{\mathbf{P}}_k^x & \xrightarrow{\quad} & F^3 & \xrightarrow{\tau'_k} & \prod_{|s|=k} Y(s) \\ \downarrow p_{k-1} & \lrcorner & \downarrow \mu & \lrcorner & \downarrow \alpha \\ \bar{\mathbf{Q}}_{k-1}^x & \xrightarrow{\gamma} & F^2 & \xrightarrow{s} & \prod_{|s|=k} \bar{\mathbf{M}}_{k-1}^s \\ \downarrow \varphi & \lrcorner & \downarrow q & \lrcorner & \downarrow \tilde{\mathbf{J}}(x,s) \\ \prod_{|t|<k} Y(t) & \xrightarrow{\iota} & F^1 & \xrightarrow{\bar{\Psi}'_k} & \prod_{|s|=k} \prod_{|v|<k} Y(v) \\ \downarrow \tilde{\mathbf{J}}(x,t) & \sim & & & \downarrow \tilde{\mathbf{J}}(x,s) \tilde{\mathbf{J}}(s,v) \\ & & & & \end{array}$$

As in §3.16, Lemma A.5 allows us to modify φ so as to obtain a map $\kappa : Y(x) \rightarrow F^3$ into the pullback.

6.8. Definition. We define the *total pointed higher homotopy operation for x* to be the set $\langle Y_{k-1}^x \rangle$ of homotopy classes of maps $\theta : Y(x) \rightarrow F^2$ with $\bar{\Psi}'_k \circ q \circ \theta = \beta \circ \alpha \circ \sigma_k^x$ with $q \circ \theta \sim \varphi$, where φ is defined to be the composite

$$Y(x) \xrightarrow{\sigma_{<k}^x} \prod_{\substack{\tilde{\mathbf{J}}(x,t) \\ |t|<k}} Y_k(t) \xrightarrow{\iota} F^1 .$$

We say $\langle Y_{k-1}^x \rangle$ *vanishes at* $\theta : Y(x) \rightarrow F^2$ as above if θ is homotopic to the composite

$$Y(x) \xrightarrow{\eta_{k-1}} \bar{\mathbf{Q}}_{k-1}^x \xrightarrow{\gamma} F^2 ,$$

and that $\langle Y_{k-1}^x \rangle$ *vanishes* if it vanishes at some value θ .

6.9. Remark. In many cases of interest we will have $\bar{\mathbf{Q}}_{k-1}^x \simeq *$, in which case the pointed operation $\langle Y_{k-1}^x \rangle$ vanishes at θ precisely when $\theta \sim *$, as one might expect, so the subset vanishes precisely when it contains the zero class.

We have chosen our definitions so as to have the following analogue of Proposition 3.18:

6.10. Proposition. *Assume given pointed functors $\tilde{Y}_k^x : \mathcal{J}_k^x \rightarrow \text{ho}(\mathcal{E}_*)$, $Y_{k-1}^x : \mathcal{J}_{k-1}^x \rightarrow \mathcal{E}_*$ and a pointed Reedy fibrant $Y_k : \partial \mathcal{J}_k^x \rightarrow \mathcal{E}_*$ as in §3.1(II) for $|x| > n \geq k \geq 2$. Then there exists a further pointed extension to $Y_k^x : \mathcal{J}_k^x \rightarrow \mathcal{E}_*$ if and only if the total higher homotopy operation $\langle Y_{k-1}^x \rangle$ vanishes.*

Proof. Once again, the definition of $\langle Y_{k-1}^x \rangle$ together with Corollary A.10 implies that each value θ is homotopic to $\mu \circ \kappa$ for some κ with $\tau'_k \circ \kappa = \sigma_k^x$ and $q \circ \mu \circ \kappa \sim \iota \circ \sigma_{<k}^x$. Thus the obstruction vanishes at θ if and only if there exists such a κ with $\mu \circ \kappa \sim \gamma \circ \eta_{k-1}$, precisely as in the proof of Proposition 3.18. The upper

left pullback square in (6.7) then produces the lift into $\overline{\mathbf{P}}_x^k$, or equivalently, a map $Y(x) \rightarrow \overline{\mathbf{M}}_x^k$, yielding the required pointed extension by Lemma 2.17.

If $\langle Y_{k-1}^x \rangle$ does not vanish, then there is no choice of φ for which such a lift exists, and so there is no pointed extension compatible with the given choices. \square

6.11. *Remark.* Given pointed functors $\tilde{Y}_k^x : \mathcal{J}_k^x \rightarrow \text{ho}(\mathcal{E}_*)$, $Y_{k-1}^x : \mathcal{J}_{k-1}^x \rightarrow \mathcal{E}_*$ and a pointed Reedy fibrant $Y_k : \partial\mathcal{J}_k^x \rightarrow \mathcal{E}_*$ as in §3.1(II) for $|x| > n \geq k \geq 2$, we may define *separated pointed higher homotopy operations* $\langle Y_{k-1}^x \rangle^{j+1}$ for x as in Definition 4.8, using a refinement of (6.7) constructed *mutatis mutandis* with products over $\mathcal{J}(x, s)$ replaced everywhere by products over $\tilde{\mathbf{J}}(x, s)$.

Separation Lemma 4.2 is stated in sufficient generality to apply here, too, with Remark 4.5 modified accordingly, yielding the following variant of Theorem 4.24:

6.12. **Theorem.** *Assume given pointed functors $\tilde{Y}_k^x : \mathcal{J}_k^x \rightarrow \text{ho}(\mathcal{E}_*)$, $Y_{k-1}^x : \mathcal{J}_{k-1}^x \rightarrow \mathcal{E}_*$ and a pointed Reedy fibrant $Y_k : \partial\mathcal{J}_k^x \rightarrow \mathcal{E}_*$ as in §3.1(II) for $|x| > n \geq k \geq 2$. Then the total pointed higher homotopy operation separates into a sequence of $k - 1$ pointed operations, and the following are equivalent:*

- (1) *A further extension to $Y_k^x : \mathcal{J}_k^x \rightarrow \mathcal{E}_*$ exists;*
- (2) *The total pointed operation $\langle Y_{k-1}^x \rangle$ vanishes;*
- (3) *The associated sequence $\langle Y_{k-1}^x \rangle^{j+1}$ ($1 \leq j < k$) of separated pointed higher homotopy operations of §4.8 vanish (so in particular each in turn is defined).*

7. LONG TODA BRACKETS AND MASSEY PRODUCTS

We are finally in a position to apply our general theory to the two most familiar examples of higher order operations: (long) Toda brackets and (higher) Massey products. Since both are cases of the (pointed) higher operations fully described in Sections 3-4 and 6, we thought it would be easier for the reader to consider two specific examples in detail, briefly indicating what needs to be done for the higher version.

7.A. Right justified Toda brackets

Since the ordinary Toda bracket (of length 3) was treated in Section 1, we start with the next case, the Toda bracket of length 4 (the first example of a *long Toda bracket* in the sense of [Wa]).

Thus, if \mathcal{E}_* is a pointed model category, assume given a diagram $\tilde{Y} : \mathcal{J} \rightarrow \text{ho } \mathcal{E}_*$ of the form

$$(7.1) \quad Y(4) \xrightarrow{[k]} Y(3) \xrightarrow{[h]} Y(2) \xrightarrow{[g]} Y(1) \xrightarrow{[f]} Y(0)$$

with each adjacent composite null-homotopic: that is, a chain complex of length 4 in $\text{ho } \mathcal{E}_*$, as in Example 2.13 (compare (1.2)). Without loss of generality, we can assume all objects involved are both cofibrant and fibrant.

Applying the double induction procedure of §3.1, we see that we must deal with chain complexes of length $n \leq 4$, as follows:

- (a) When $n = 0$, we have no inner induction, and making the result Reedy fibrant consists of factoring the representative to produce a fibration $f : Y(1) \twoheadrightarrow Y(0)$ in the specified class $[f]$.

Likewise $F_{4,3}^{1,3}$ a model for $\Omega\overline{\mathbf{M}}_1^2$ (using horizontal fibrations in the larger square beneath it), and $F_{4,3}^{2,3}$ is a model for $\Omega^2Y(0)$ (now using the rectangle with diagonal corners $F_{4,3}^{2,3}$ and $F_{3,2}^{1,2}$, along with the previous identification of the latter). Similarly, $\overline{\mathbf{M}}_2^3$ is a model for $\text{Fib}(g_1)$ of (7.3), while $\overline{\mathbf{P}}_3^4$ is $\text{Fib}(h_1)$ (which is also the homotopy fiber). See (7.11) below for the full identification.

Therefore, the final obstruction to having a dotted lift k_1 in (7.3) (or (7.4)) is the composite $k \circ h_1$.

Note that there are no factors of type $G_{i,j}^{k,\ell}$ as in (4.18) here, since we can always choose the zero map as our factorization of the zero map between zero objects.

7.5. Remark. Our total pointed tertiary homotopy operation $\langle Y_2^4 \rangle$ is a set of homotopy classes $\theta : Y(4) \rightarrow \Omega\overline{\mathbf{M}}_1^2$. However, using Lemma 4.2, we can replace it by two separated higher homotopy operations for $\mathbf{4}$, in the sense of §4.8:

- (1) The second order operation $\langle Y_2^4 \rangle^2 \subseteq [Y(4), \Omega Y(1)]$.
- (2) If $\langle Y_2^4 \rangle^2$ vanishes, the third order operation $\langle Y_2^4 \rangle^3 \subseteq [Y(4), \Omega^2 Y(0)]$ is defined, and serves as the final obstruction to lifting \tilde{Y} . By definition, this is our *four-fold Toda bracket* $\langle \underline{f, g, h, k} \rangle$.

7.6. Lemma. *Given a pointed Reedy fibrant diagram Y_3 realizing (7.1) through filtration 3, the associated second order separated higher homotopy operation $\langle Y_2^4 \rangle^2$ is our usual Toda bracket $\langle \underline{g, h, k} \rangle$.*

Proof. Note that $F_{3,2}^{1,3}$ is a model for the homotopy fiber of $g : Y(2) \rightarrow Y(1)$ (which is not-itself a fibration). Thus, the rectangle with corners $F_{3,2}^{1,3}$ and $Y(1)$ in (7.4) is a homotopy invariant version of the rectangle with corners F^2 and $Y(0)$ in (7.2), used to define our Toda bracket in Step (c) above – this time, applied to the left 3 maps in (7.1). The map corresponding to θ in (7.2) – the value of the Toda bracket – is the map $Y(4) \rightarrow F_{4,3}^{1,2}$ obtained by composing κ with the vertical maps $F_{4,3}^{1,4} \rightarrow F_{4,3}^{1,2}$, which is indeed the definition of the value of $\langle Y_2^4 \rangle^2$ associated to our choices (see Definition 4.8). \square

7.7. Aside. Note that if the dotted forgetful map $\overline{\mathbf{M}}_1^2 \rightarrow Y(1)$ in (7.4) were a fibration, the horizontal dotted map above it would be a fibration, too, so right properness would imply that the vertical map $\overline{\mathbf{M}}_2^3 \rightarrow F_{3,2}^{1,3}$ would be a weak equivalence.

7.8. Length n Toda brackets.

The general procedure described in Section 6 tells us what needs to be done for Toda diagrams (chain complexes \tilde{Y} in $\text{ho } \mathcal{E}_*$):

$$(7.9) \quad Y(n) \xrightarrow{[f_n]} Y(n-1) \xrightarrow{[f_{n-1}]} \dots \longrightarrow Y(3) \xrightarrow{[f_3]} Y(2) \xrightarrow{[f_2]} Y(1) \xrightarrow{[f_1]} Y(0)$$

of arbitrary length n . We sketch the main features of the general construction, already discernible in the case $n = 4$ described above:

In the double induction of §3.1, we can concentrate on the last stage – assuming the vanishing of shorter brackets on the right, which guarantees the existence of a

solid diagram

$$(7.10) \quad \begin{array}{ccccccc} Y(n) & \xrightarrow{\dots} & \text{Fib}(g_{n-1}) & \longrightarrow & * & & \\ & \searrow & \downarrow g_n^2 & \lrcorner & \downarrow & & \\ & & Y(n-1) & \xrightarrow{\dots} & \text{Fib}(g_{n-2}) & \longrightarrow & * \\ & & & & \downarrow & & \vdots \\ & & & & Y(3) & \xrightarrow{\dots} & \text{Fib}(g_2) & \longrightarrow & * \\ & & & & \downarrow & & \downarrow & & \downarrow \\ & & & & & & Y(2) & \xrightarrow{\dots} & \text{Fib}(f_1) & \longrightarrow & * \\ & & & & & & \downarrow & & \downarrow & & \downarrow \\ & & & & & & & & Y(1) & \xrightarrow{\dots} & Y(0) \end{array}$$

analogous to (7.3); our length n Toda bracket, $\langle f_1, f_2, \dots, f_{n-1}, f_n \rangle$, will be the final obstruction to finding the dotted map g_n in (7.10), perhaps after altering f_n within its homotopy class.

The existence of the fibrations g_k for $2 \leq k < n$, and the fact that f_1 is a fibration, mean that we have a lifting $Y_{n-1} : \mathcal{J}_{n-1} \rightarrow \mathcal{E}_*$ of $\tilde{Y}|_{\mathcal{J}_{n-1}}$, which we have made pointed Reedy fibrant. The underlining in the notation represents our intention to leave that portion fixed.

The construction of the separation grid for Y_{n-1} (§4.23) greatly simplifies, in this case, as we see in comparing (7.2) to (7.4): at each step, one writes the previous separation grid vertically (instead of horizontally) on the right (after changing the previously chosen g_{n-1} into a fibration, thus altering $Y(n-1)$ up to homotopy). We then factor the zero map Ψ and pull back the leftmost existing column to form a new column to its left. Factoring the zero map from $\overline{\mathbf{Q}}_{k-1}^x$ to the second place from the bottom in this new column and again pulling back, we note that the intermediate object produced by this factorization is a reduced path object, so by induction the entry immediately above it is a loop object (being the pullback over a fibration with upper right and lower left corners contractible – one because it is the reduced path object, and the other by induction). Moreover, the number of loops increases as we move up and to the left (see Lemma 8.3).

Repeat this step until the new column involves just two maps (so the second object from the bottom is at the same height as the product of the objects $\overline{\mathbf{M}}_{k-1}^s$ on the right). The pullback in the upper left corner is now the actual fiber of g_{n-1} . To

illustrate, we reproduce diagram (7.4) with the pieces identified up to homotopy:

(7.11)

Note that while not all the pullbacks in the grid can be easily identified, the targets of the separated operations (boxed) are iterated loop spaces on the original objects of (7.9), as one would expect for long Toda brackets. This last obstruction, consisting of a subset of the homotopy classes of maps into the top left iterated loop space, then represents our length n Toda bracket, $\langle \underline{f_1, f_2, \dots, f_{n-1}}, f_n \rangle$, with the lower separated higher homotopy operations corresponding to the vanishing of the lower obstructions necessary in order to define it (together with those already assumed to vanish in order to build the current commuting diagram).

7.B. Massey Products as a Hybrid Case

The classical Massey product (cf. [Ms]) is defined for three cohomology classes of the same space X $[\alpha], [\beta], [\gamma] \in H^*(X; R)$ for some ring R , equipped with null homotopies $F : \mu(\alpha, \beta) \sim 0$ and $G : \mu(\beta, \gamma) \sim 0$ for the two products. Like a Toda bracket, the Massey product serves as the obstruction to simultaneously making both products strictly zero (see [BBG, §4]).

This situation may be described by the pointed indexing category \mathcal{J} :

(7.12)

Here the dashed maps are in $\overline{\mathcal{J}}$ and the others are in $\tilde{\mathcal{J}}$. The inner diamond commutes (with the solid composite) and the outer diamond commutes (with the dashed composite).

The corresponding pointed diagram $\tilde{Y} : \mathcal{J} \rightarrow \text{ho } \mathcal{T}_*$ has products of Eilenberg-Mac Lane spaces $K_i := K(R, i)$ in all but the top slot:

$$(7.13) \quad \begin{array}{c} Y(g) \\ \downarrow (\alpha, \beta, \gamma) \\ K_r \times K_s \times K_t \\ \begin{array}{ccc} \swarrow (\pi_1, \mu) & \downarrow \mu & \searrow (\mu, \pi_2) \\ * \times K_{s+t} \xleftarrow{\pi_2} K_r \times K_{s+t} & & K_{r+s} \times K_t \xrightarrow{\pi_1} K_{r+s} \times * \\ \downarrow \mu & & \downarrow \mu \\ & K_{r+s+t} & \end{array} \end{array}$$

(Dashed lines in the original diagram represent additional maps and boundaries.)

where the central diamond represents associativity of the cup product maps μ ; π_1 and π_2 are the two projections; and we have omitted the zero map from top to bottom that appears in (7.12) in the interest of clarity.

Choose a strictly associative model of the Eilenberg-Mac Lane Ω -spectrum in question (cf. [Ro]), with strictly pointed multiplication, so in particular at each level K_r is a simplicial (or topological) abelian group. We can then make all of (7.13) below $Y(g)$ (involving only the cup product maps) strictly commutative. Our Massey product will be the total pointed higher homotopy operation $\langle Y_1^g \rangle$ (for $n = k = 2$).

From §2.16 we see that if we let $\mathbf{K} := K_r \times K_{s+t} \times K_{r+s} \times K_t$, then $\overline{\mathbf{M}}_1^f$ is the pullback of the two multiplication maps $K_r \times K_{s+t} \rightarrow K_{r+s+t} \leftarrow K_{r+s} \times K_t$, with a natural inclusion (forgetful map) $i_1 : \overline{\mathbf{M}}_1^f \rightarrow \mathbf{K}$. The pullback grid of (6.7) then takes the form:

$$(7.14) \quad \begin{array}{ccccc} \overline{\mathbf{P}}_2^g & \xrightarrow{\quad} & F^3 & \xrightarrow{\quad r'_k \quad} & K_r \times K_s \times K_t \\ \downarrow p_{k-1} & \lrcorner & \downarrow & \lrcorner & \downarrow f \\ \overline{\mathbf{Q}}_1^g & \xrightarrow{\quad \gamma \quad} & F^2 & \xrightarrow{\quad s \quad} & \overline{\mathbf{M}}_1^f \\ \downarrow & \lrcorner & \downarrow q & \lrcorner & \downarrow (\pi_2 i_1, \pi_3 i_1, i_1, \mu i_1) \\ \mathbf{K} & \xrightarrow{\sim} & PK_{r+s} \times PK_{s+t} \times \mathbf{K} \times PK_{r+s+t} & \xrightarrow{\overline{\Psi}'} & K_{r+s} \times K_{s+t} \times \mathbf{K} \times K_{r+s+t} \end{array}$$

Thus a point in F^2 is given by $(U, V, x, u, v, z, W) \in PK_{r+s} \times PK_{s+t} \times \overline{\mathbf{M}}_1^f \times PK_{r+s+t}$ with $U : u \sim *$, $V : v \sim *$, and $W : xu = vz \sim *$. We thus have a natural map $\lambda : F^2 \rightarrow \Omega K_{r+s+t} \times \Omega K_{r+s+t}$ sending (U, V, x, u, v, z, W) to $(xU - W, Vz - W)$. Postcomposition with the difference map $d : \Omega K_{r+s+t} \times \Omega K_{r+s+t} \rightarrow \Omega K_{r+s+t}$ yields $(xU - Vz)$.

Now $Y(g)$ maps into the top right corner of (7.14) by (a lift of) (α, β, γ) , and thereby on to $\overline{\mathbf{M}}_1^f$, and into the bottom middle term by

$$\varphi := \langle F, G, \alpha, \mu(\beta, \gamma), \mu(\alpha, \beta), \gamma, L \rangle,$$

with L some nullhomotopy of $\mu(\alpha, \beta, \gamma)$. Together these two maps induce the map $\theta : Y(g) \rightarrow F^2$ of §6.8.

Postcomposing θ with $d \circ \lambda$ gives the usual Massey product

$$\langle \alpha, \beta, \gamma \rangle \in [Y(g), \Omega K_{r+s+t}] = H^{r+s+t-1}(Y(g); R).$$

The two factors of $\lambda \circ \theta$ merely give the usual indeterminacy for the Massey product, as we can see by choosing $L := \mu(F, \gamma)$ or $L := \mu(\alpha, G)$.

7.15. *Remark.* An alternative definition of the usual (higher) Massey products, more in line with that given for the Toda bracket, appears in [BBG, §4.1].

8. FULLY REDUCED DIAGRAMS

Ultimately, we would like to develop an “algebra of higher order operations,” along the lines of Toda’s original juggling lemmas (see [T2, §1]). As a first step in this direction, we consider a special type of pointed diagram, which most closely resembles the long Toda diagram of (7.9).

The most useful property of the separated higher operations associated to Toda diagrams is that we can often identify their targets $F_{x,k}^{j,j+1}$ as loop spaces (as we saw in (7.11)).

It turns out the property of the pointed indexing category \mathcal{J} needed for this to happen is the following:

8.1. **Definition.** A pointed indexing category \mathcal{J} as in §2.12 is called *fully reduced* if any morphism decreasing degree by at least 2 lies in $\overline{\mathcal{J}}$.

8.2. *Remark.* If \mathcal{J} is fully reduced, for $|x| \geq k+1$ we have $\prod_{\tilde{\mathcal{J}}(x,t), |t| < k} Y(t) = *$ and so $\overline{\mathbf{M}}_{k-1}^x = *$ (cf. §2.16) as well. We deduce that $\overline{\mathbf{N}}_{k-1}^x = * = \overline{\mathbf{Q}}_{k-1}^x$, too (cf. (6.3)), since both are fibers of a product of monomorphisms, by Lemma 6.2 (under mild assumptions on \mathcal{E}_*).

Furthermore, the map $\overline{\text{forget}}$ of §2.16 factors through $\prod_{\tilde{\mathcal{J}}(s,t), |t|=|s|-1} Y(t)$, so no factors of type $G_{x,k}^{k+1,j}$ (cf. (4.20)) are needed when constructing the separation grid (4.18). This also implies that $F_{x,k}^{j,j}$ is contractible for $j < k$, which is the key ingredient for identifying the targets of the separated operations as loop spaces.

Our key decomposition result is the following.

8.3. **Lemma.** *If \mathcal{J} is a fully reduced pointed indexing category and $n \geq k \geq j \geq 2$, we have:*

$$F_{x,k}^{j-1,j} \sim \prod_{\substack{(f_{k-j}, \dots, f_k) \\ f_{k-j} \circ \dots \circ f_k : x \rightarrow v}} \Omega^{j-1} Y(v)$$

in (4.18), where each f_i is a non-identity map in $\tilde{\mathcal{J}}$, with target of degree i .

Proof. We prove this by induction on k (for fixed n and x), as in Lemma 4.17. In each case, we combine two pullbacks over fibrations, one of which has fiber identified at an earlier stage, with two corners contractible; the upper left corner (source) is then homotopy equivalent to the loop space on the lower right corner, (see Step (e) of §7.A).

For $2 = j < k$, we use the basic pullback rectangle

$$(8.4) \quad \begin{array}{ccc} F_{s,2}^{1,3} & \longrightarrow & \prod_{|u|=1} Y(u) \\ \downarrow & \lrcorner & \downarrow \tilde{\mathbf{J}}(s,u) \\ F_{s,2}^{1,2} & \longrightarrow & \prod_{|u|=1} \overline{M}_0^u \\ \downarrow & \lrcorner & \downarrow \tilde{\mathbf{J}}(s,u) \\ F_{s,2}^{1,1} & \longrightarrow & \prod_{|u|=1} \prod_{|v|=0} Y(v) \end{array}$$

to construct the pullback rectangle

$$(8.5) \quad \begin{array}{ccccc} F_{x,3}^{1,2} & \longrightarrow & \prod_{|s|=3} F_{s,2}^{1,3} & \longrightarrow & \prod_{|s|=3} F_{s,2}^{1,1} \\ \downarrow & \lrcorner & \downarrow \tilde{\mathbf{J}}(x,s) & \lrcorner & \downarrow \tilde{\mathbf{J}}(x,s) \\ F_{x,3}^{1,1} & \longrightarrow & \prod_{|s|=3} Y(u) & \longrightarrow & \prod_{|s|=3} \prod_{|u|=1} \prod_{|v|=0} Y(v) \end{array}$$

where the vertical maps are fibrations, and both $\prod_{|s|=3} F_{s,2}^{1,1}$ and $F_{x,3}^{1,1}$ contractible, as in Remark 8.2.

For $2 < j < k$, we similarly use the pullback rectangle

$$(8.6) \quad \begin{array}{ccccc} F_{x,k}^{j-1,j} & \longrightarrow & \prod_{|s|=k} F_{s,k-1}^{j-1,k} & \longrightarrow & \prod_{|s|=k} F_{s,k-1}^{j-1,j-1} \\ \downarrow & \lrcorner & \downarrow \tilde{\mathbf{J}}(x,s) & \lrcorner & \downarrow \tilde{\mathbf{J}}(x,s) \\ F_{x,k}^{j-1,j-1} & \longrightarrow & \prod_{|s|=k} F_{s,k-1}^{j-2,k} & \longrightarrow & \prod_{|s|=k} F_{s,k-1}^{j-2,j-1} \end{array}$$

in which the vertical maps are fibrations, together with the fact that $F_{x,k}^{j-1,j-1}$ and each $F_{s,k-1}^{j-1,j-1}$ are contractible, to prove the claim by induction on j (since loops commute with products).

For $2 \leq j = k$, recall that when $|s| = 2$ the first non-trivial case (with $k-1 = 1$) involves the first pullback diagram

$$(8.7) \quad \begin{array}{ccc} \overline{\mathbf{M}}_1^s & \xrightarrow{\text{forget}} & \prod_{\substack{\tilde{\mathbf{J}}(s,u) \\ |u|=1}} Y(u) \\ \downarrow \lrcorner & & \downarrow \\ * & \longrightarrow & \prod_{\substack{\tilde{\mathbf{J}}(s,u) \\ |u|=1}} \prod_{\substack{\tilde{\mathbf{J}}(u,v) \\ |v|=0}} Y(v) \end{array}$$

For $2 < j = k$ we have the second pullback diagram

$$(8.8) \quad \begin{array}{ccccc} \overline{\mathbf{M}}_{k-1}^s & \longrightarrow & \overline{\mathbf{P}}_{k-1}^s & \longrightarrow & F_{s,k-1}^{k-2,k} \\ \downarrow \lrcorner & & \downarrow \lrcorner & & \downarrow \\ * = \overline{\mathbf{N}}_{k-1}^s & \longrightarrow & * = \overline{\mathbf{Q}}_{k-1}^s & \longrightarrow & F_{s,k-1}^{k-2,k-1} \end{array}$$

and combining (products of) either type into

$$(8.9) \quad \begin{array}{ccccc} F_{x,k}^{k-1,k} & \longrightarrow & \prod_{\substack{\tilde{\mathbf{J}}(x,s) \\ |s|=k}} \overline{\mathbf{M}}_{k-1}^s & \longrightarrow & * \\ \downarrow \lrcorner & & \downarrow \lrcorner & & \downarrow \\ F_{x,k}^{k-1,k-1} & \longrightarrow & \prod_{\substack{\tilde{\mathbf{J}}(x,s) \\ |s|=k}} F_{s,k-1}^{k-2,k} & \longrightarrow & \prod_{\substack{\tilde{\mathbf{J}}(x,s) \\ |s|=k}} F_{s,k-1}^{k-2,k-1} \end{array}$$

yields a pullback with horizontal fibrations and with $F_{x,k}^{k-1,k-1}$ (and of course $*$) contractible, so the result (with $2 \leq j = k$) also follows by induction. \square

With these conventions, each factor in the product $Y(x) \rightarrow \Omega^{j-1}Y(v)$ is a j -ary Toda bracket by construction, and vanishing of the product is equivalent to vanishing of each factor.

8.10. Theorem. *In the fully reduced case, all higher operations decompose into a sequence of Toda brackets of order no greater than the degree of the first target object in the string.*

APPENDIX A. BACKGROUND MATERIAL

We collect here a number of basic facts about model categories needed in this paper and one non-standard lemma included for ease of reference elsewhere. We refer the reader to [Hir, §§7.1-7.3] for the basics on model categories and homotopy assumed for this appendix.

A.1. Notation. Given two maps $f, g : X \rightarrow Y$, we write $f \sim^r g$ if the maps are right homotopic, and $f \sim^l g$ if the maps are left homotopic.

A.2. **Lemma** (Homotopy Lifting Property). *Suppose we have the solid diagram with q a fibration and T cofibrant:*

$$(A.3) \quad \begin{array}{ccc} T & \xrightarrow{f} & Y \\ & \searrow \psi & \downarrow q \\ & & Z \end{array}$$

Then there is a homotopy $\psi \sim^l q \circ f$ if and only if there is a map $f' : T \rightarrow Y$ with a homotopy $f' \sim^l f$ such that $\psi = q \circ f'$.

Dually, if Z is fibrant and f is a cofibration then there is a homotopy $\psi \sim^r q \circ f$ precisely when there is a map $q' : Y \rightarrow Z$ with a homotopy $q' \sim^r q$ such that $\psi = q' \circ f$.

Proof. Assume q is a fibration. Let

$$T \amalg T \xrightarrow{i_1 \sqcup i_2} \text{Cyl}(T) \xrightarrow{p} T$$

be a factorization of the fold map $T \amalg T \xrightarrow{1_T \amalg 1_T} T$ such that $i_1 \sqcup i_2$ is a cofibration and p is a weak equivalence. Cofibrancy of T implies $i_1 : T \rightarrow \text{Cyl}(T)$ is an acyclic cofibration by [Hir, 7.3.7]. Given a homotopy $H : \text{Cyl}(T) \rightarrow Z$ with $H \circ i_1 = q \circ f$ and $H \circ i_2 = \psi$, we may use the left lifting property in

$$(A.4) \quad \begin{array}{ccc} T & \xrightarrow{f} & Y \\ \simeq \downarrow i_1 & \nearrow \hat{H} & \downarrow q \\ \text{Cyl}(T) & \xrightarrow{H} & Z \end{array}$$

to factor H as $q \circ \hat{H}$, and set $f' := \hat{H} \circ i_2$. If f is instead a cofibration, use the dual argument. \square

A.5. **Lemma** (Homotopy Pullback Property). *Suppose we have the following solid diagram where the square is a pullback, T is cofibrant, and the two vertical maps are fibrations.*

$$(A.6) \quad \begin{array}{ccccc} T & & & & \\ & \searrow g & & \searrow f & \\ & & W & \xrightarrow{j} & Y \\ & \searrow p & \downarrow r & \lrcorner & \downarrow q \\ & & X & \xrightarrow{i} & Z \end{array}$$

Then there is a dotted map $f : T \rightarrow Y$ with a homotopy $q \circ f \sim^l i \circ p$ precisely when there is a dotted map $g : T \rightarrow W$ with a homotopy $j \circ g \sim^l f$ and $r \circ g = p$.

Proof. Suppose there is a homotopy $q \circ f \sim^l i \circ p$. Since T is cofibrant and q is a fibration, the Homotopy Lifting Property (with $\psi = i \circ p$) produces $f' : T \rightarrow Y$ homotopic to f , such that $q \circ f' = i \circ p$. Since the square is a pullback, there is a map $g : T \rightarrow W$ such that $j \circ g = f'$ and $r \circ g = p$. Since $f \sim^l f'$, we conclude that $f \sim^l j \circ g$. \square

A.7. **Corollary.** *If X is cofibrant, $k : X \rightarrow Y$ is any pointed map, and $h : Y \rightarrow Z$ is a pointed fibration, then the composite $h \circ k : X \rightarrow Z$ is null-homotopic if and*

only if there exists some $k' : X \rightarrow Y$, left homotopic to k , which factors through $\text{Fib}(h)$.

A.8. Lemma (Homotopy Ladder Property). *Suppose we are given the following diagram in which both squares are (strict) pullbacks, T is cofibrant, the indicated horizontal maps are fibrations, and the outer diagram commutes up to homotopy:*

$$(A.9) \quad \begin{array}{ccccc} T & & & & \\ & \searrow \kappa & & \searrow \sigma & \\ & U & \xrightarrow{r} & V & \\ & \downarrow \Phi & \lrcorner & \downarrow t & \\ & W & \xrightarrow{p} & X & \\ & \downarrow q & \lrcorner & \downarrow s & \\ & Y & \xrightarrow{u} & Z & \end{array}$$

Consider the following three statements:

- (1) There is a map $\kappa : T \rightarrow U$ such that $\sigma = r \circ \kappa$, and there are (left) homotopies $\theta \sim^l \Phi \circ \kappa$ and $\varphi \sim^l q \circ \Phi \circ \kappa$.
- (2) $\varphi \sim^l q \circ \theta$, and there is a map $\theta' : T \rightarrow W$ homotopic to θ such that $p \circ \theta' = t \circ \sigma$.
- (3) There is a map $\theta' : T \rightarrow W$ homotopic to θ such that φ is homotopic to $\varphi' := q \circ \theta'$ and $u \circ \varphi' = s \circ t \circ \sigma$.

Then (1) \Leftrightarrow (2) \Rightarrow (3). Furthermore, if s is a monomorphism, then (1), (2), and (3) are all equivalent.

Proof. (1) \Rightarrow (2): Since $\theta \sim^l \Phi \circ \kappa$, it follows that $\varphi \sim^l q \circ \Phi \circ \kappa \sim^l q \circ \theta$. Since $p \circ \theta \sim^l p \circ \Phi \circ \kappa = t \circ \sigma$, applying the Homotopy Lifting Property (with $q = p$ and $f = \theta$), to $\psi = t \circ \sigma$ there exists $\theta' \sim^l \theta$ with $p \circ \theta' = t \circ \sigma$.

(2) \Rightarrow (1): Let $\theta' \sim^l \theta$ with $p \circ \theta' = t \circ \sigma$, and let $\varphi' := q \circ \theta'$. Then

$$u \circ \varphi' = u \circ q \circ \theta' = s \circ p \circ \theta' = s \circ t \circ \sigma$$

Since the outside rectangle is a pullback, there exists $\kappa : T \rightarrow U$ such that $\theta' = \Phi \circ \kappa$ and $\sigma = r \circ \kappa$. Thus $\theta \sim^l \theta' = \Phi \circ \kappa$. Also, $\varphi \sim^l q \circ \theta \sim^l q \circ \Phi \circ \kappa$.

(2) \Rightarrow (3): Given $\theta' \sim^l \theta$ such that $p \circ \theta' = t \circ \sigma$, set $\varphi' := q \circ \theta'$; then $\varphi \sim^l q \circ \theta \sim^l q \circ \theta' = \varphi'$. Also, from the squares commuting

$$u \circ \varphi' = u \circ q \circ \theta' = s \circ p \circ \theta' = s \circ t \circ \sigma$$

Finally, we assume that $s : X \rightarrow Z$ is a monomorphism. We show that (3) \Rightarrow (2). From the squares commuting, we have

$$s \circ t \circ \sigma = u \circ \varphi' = u \circ q \circ \theta' = s \circ p \circ \theta'$$

Thus $t \circ \sigma = p \circ \theta'$, because s is a monomorphism, and $\varphi \sim^l q \circ \theta$ as above. \square

A.10. Corollary. *In (A.9) assume again that the squares are pullbacks, T is cofibrant, and the horizontal maps are fibrations. Assume further that $u \circ \varphi \sim^l s \circ t \circ \sigma$. Then we have the following:*

- (1) There exists a map $\kappa : T \rightarrow U$ such that $\sigma = r \circ \kappa$ and $\varphi \sim^l q \circ \Phi \circ \kappa$.
- (2) There exists a map $\theta : T \rightarrow W$ such that $\varphi \sim^l q \circ \theta$ and $p \circ \theta = t \circ \sigma$.

Moreover, if s is additionally a monomorphism then there is a homotopy $\theta \sim^l \Phi \circ \kappa$.

Proof. For (1), since $u \circ \varphi \sim^l s \circ t \circ \sigma$, by the Homotopy Pullback Property, there is a map $\varphi' : T \rightarrow U$ homotopic to φ such that $u \circ \varphi' = s \circ t \circ \sigma$. Since the outer rectangle is a pullback, there is a map $\kappa : T \rightarrow U$ such that $\varphi' = q \circ \Phi \circ \kappa$ and $\sigma = r \circ \kappa$. Thus $\varphi \sim^l q \circ \Phi \circ \kappa$.

For (2), we have $u \circ \varphi \sim^l s \circ t \circ \sigma$. Again, by the Homotopy Pullback Property, there is a map $\varphi' \sim^l \varphi$ such that $u \circ \varphi' = s \circ t \circ \sigma$, so since the bottom square is a pullback, there is a map $\theta : T \rightarrow W$ with $t \circ \sigma = p \circ \theta$ and $\varphi' = q \circ \theta$, and so $\varphi \sim^l q \circ \theta$.

Finally, $u \circ \varphi' = u \circ q \circ \theta = s \circ p \circ \theta = s \circ t \circ \sigma$, so if s is a monomorphism, we may conclude from Lemma A.8 that $\theta \sim^l \Phi \circ \kappa$. \square

We have the duals of Lemma A.5, Corollary A.7, Lemma A.8 and Corollary A.10:

A.11. Lemma. *Suppose the following square is a pushout, V is fibrant, and the two horizontal maps are cofibrations:*

$$(A.12) \quad \begin{array}{ccc} W & \xrightarrow{i} & Y \\ \downarrow \alpha & \lrcorner & \downarrow \beta \\ X & \xrightarrow{j} & Z \\ & \searrow p & \downarrow g \\ & & V \end{array} \quad \begin{array}{c} \cdot \cdot \cdot \\ \cdot \cdot \cdot \\ \cdot \cdot \cdot \\ \cdot \cdot \cdot \\ \cdot \cdot \cdot \\ \cdot \cdot \cdot \\ \cdot \cdot \cdot \\ \cdot \cdot \cdot \\ \cdot \cdot \cdot \end{array} \begin{array}{c} \\ \\ f \\ \\ g \\ \\ \\ \\ \end{array}$$

Then there is a dotted map $f : Y \rightarrow V$ with a homotopy $p \circ \alpha \sim^r f \circ i$ precisely when there is a dotted map $g : Z \rightarrow V$ with a homotopy $g \circ \beta \sim^r f$ and $g \circ j = p$.

A.13. Corollary. *If $k : X \rightarrow Y$ is a pointed cofibration and $h : Y \rightarrow Z$ is any pointed map with Z fibrant, the composite $h \circ k : X \rightarrow Z$ is null-homotopic if and only if there exists a map $h' : Y \rightarrow Z$, right homotopic to h , which factors through $\text{cof}(k)$.*

A.14. Lemma. *Suppose we are given the following diagram in which both squares are (strict) pushouts, T is fibrant, the indicated horizontal maps are cofibrations, and the outer diagram commutes up to homotopy:*

$$(A.15) \quad \begin{array}{ccc} U & \xrightarrow{r} & V \\ \downarrow \Phi & \lrcorner & \downarrow t \\ W & \xrightarrow{p} & X \\ \downarrow q & \lrcorner & \downarrow s \\ Y & \xrightarrow{u} & Z \\ & \searrow \sigma & \downarrow \theta \\ & & T \end{array} \quad \begin{array}{c} \\ \\ \cdot \cdot \cdot \\ \cdot \cdot \cdot \\ \cdot \cdot \cdot \\ \cdot \cdot \cdot \\ \cdot \cdot \cdot \\ \cdot \cdot \cdot \\ \cdot \cdot \cdot \end{array} \begin{array}{c} \\ \\ \varphi \\ \\ \\ \\ \kappa \\ \\ \end{array}$$

Consider the following three statements:

- (1) *There exists a map $\kappa : Z \rightarrow T$ such that $\sigma = \kappa \circ u$ and there are (right) homotopies $\theta \sim^r \kappa \circ s$ and $\varphi \sim^r \kappa \circ s \circ t$.*
- (2) *$\varphi \sim^r \theta \circ t$ and there is a map $\theta' : X \rightarrow T$, homotopic to θ , such that $\theta' \circ p = \sigma \circ q$.*
- (3) *There is a map $\theta' : X \rightarrow T$ homotopic to θ such that φ is homotopic to $\varphi' := \theta' \circ t$, and $\varphi' \circ r = \sigma \circ q \circ \Phi$.*

Then (1) \Leftrightarrow (2) \Rightarrow (3). Furthermore, if Φ is an epimorphism, then (1), (2), and (3) are all equivalent.

A.16. Corollary. In (A.15), assume again that the squares are pushouts, T is fibrant, and the horizontal maps are cofibrations. Assume further that $\varphi \circ r \sim^r \sigma \circ q \circ \Phi$. Then we have the following:

- (1) There exists a map $\kappa : Z \rightarrow T$ such that $\sigma = \kappa \circ u$ and $\varphi \sim^r q \circ \kappa \circ s \circ t$.
- (2) There exists a map $\theta : X \rightarrow T$ such that $\varphi \sim^r \theta \circ t$ and $\theta \circ p = \sigma \circ q$.

Moreover, if Φ is additionally an epimorphism then there is a homotopy $\theta \sim^r \kappa \circ s$.

We define the *reduced path object* PW associated to a pointed object W by the pullback

$$(A.17) \quad \begin{array}{ccc} PW & \xrightarrow{j} & \text{Path}(W) \\ p_W \downarrow \lrcorner & & \downarrow p_1 \times p_2 \\ W & \xrightarrow{1_W \times 0} & W \times W \end{array}$$

A.18. Lemma. If W is fibrant, then PW is weakly contractible. Furthermore, if $f : X \rightarrow W$ is pointed, then f is right null-homotopic precisely when f factors as $X \rightarrow PW \xrightarrow{p_W} W$.

Proof. First, the diagram A.17 can be expanded to the pullback

$$(A.19) \quad \begin{array}{ccc} PW & \xrightarrow{j} & \text{Path}(W) \\ \downarrow \lrcorner & & \downarrow \text{pr}_2 \circ (p_1 \times p_2) \\ * & \longrightarrow & W \end{array}$$

Since W is fibrant, the right hand vertical map is a trivial fibration, by [Hir, 7.3.7]. Hence the left hand vertical map is a trivial fibration, by [Hir, 7.2.12]. Thus PW is weakly contractible.

If $f : X \rightarrow W$ is null-homotopic, there is a map $H : X \rightarrow \text{Path}(W)$ with $p_1 \circ H = f$ and $p_2 \circ H = 0$. From the first factorization, and the pullback property of (A.17), there is a map $\phi : X \rightarrow PW$ such that $f = p_W \circ \phi$. \square

We similarly define the *reduced cone* CX on a pointed object X by the pushout

$$(A.20) \quad \begin{array}{ccc} X \amalg X & \xrightarrow{1_X \amalg 0} & X \\ i_1 \amalg i_2 \downarrow & & \downarrow i_X \\ \text{Cyl}(X) & \longrightarrow & CX \end{array}$$

A.21. Lemma. If X is cofibrant, then CX is weakly contractible. Furthermore, if $f : X \rightarrow W$ is a pointed map, then f is left null-homotopic precisely when f factors as $X \xrightarrow{i_X} CX \rightarrow W$.

A.22. Lemma. Let X be cofibrant and both Z and W fibrant. If the composite $g \circ h \circ k$ is right null-homotopic, then the shorter composite $h \circ k$ is also right null-homotopic if and only if there is a null homotopy ϕ of $g \circ h \circ k$ such that the solid commutative

diagram

$$(A.23) \quad \begin{array}{ccc} X & \xrightarrow{k} & Y \\ & \searrow \psi & \downarrow h \\ & PF_g & \xrightarrow{p_{F_g}} F_g \xrightarrow{i} Z \\ & \searrow \phi & \downarrow j \dashv \\ & PW & \xrightarrow{p_W} W \\ & & \downarrow g \end{array}$$

extends to the full diagram above, with ψ a null homotopy for $h \circ k$ and F_g the pullback of g along p_W .

Proof. Suppose the composite $g \circ h \circ k$ is null-homotopic. Then Lemma A.18 gives a factorization $g \circ h \circ k = p_W \circ \phi$ in (A.23). Since p_W is a fibration, so is i . If $h \circ k$ is also null-homotopic then this composite factors as $h \circ k = p_Z \circ \kappa$, for some $\kappa : X \rightarrow PZ$. Now factor κ as $X \xrightarrow{\kappa'} V \xrightarrow{q} PZ$, with κ' a cofibration and q a trivial fibration. Since X is cofibrant and PZ is weakly contractible by Lemma A.18, $* \rightarrow V$ is a trivial cofibration. Therefore, $p_Z \circ q$ lifts to a map $\eta : V \rightarrow PF_g$ with $i \circ p_{F_g} \circ \eta = p_Z \circ q$. Setting $\psi := \eta \circ \kappa'$ makes the whole diagram commute. \square

The dual version is:

A.24. Lemma. *Let Y be fibrant and both Z and W cofibrant. Suppose the composite $k \circ h \circ g$ is known to be left null-homotopic. Then the shorter composite $k \circ h$ is also left null-homotopic if and only if for some null homotopy ϕ of $k \circ h \circ g$, the solid commutative diagram*

$$(A.25) \quad \begin{array}{ccccc} W & \xrightarrow{i_W} & CW & & \\ g \downarrow & & \downarrow \Gamma & & \\ Z & \xrightarrow{\quad} & M_g & \xrightarrow{\quad} & CM_g \\ h \downarrow & & & & \downarrow \psi \\ X & \xrightarrow{\quad} & & & Y \end{array}$$

ϕ (curved arrow from CW to Y)

extends to the full diagram above, with ψ giving a null homotopy for $k \circ h$ and M_g the pushout of g along i_W .

APPENDIX B. INDETERMINACY

For most higher homotopy operations, one cannot expect a closed formula for the indeterminacy of operations of the type provided by [T2, Lemma 1.1] for the classical (secondary) Toda bracket. This is because tertiary and higher operations depend on choices made for the vanishing of the lower order operations, and the amount of choice remaining might vary for different sets of earlier choices.

However, if we take these earlier choices as given, within the inductive framework described here the only remaining source of indeterminacy is in the choice of the specific map φ' which makes the outer diagram in (A.9) commute on the nose, and how that choice affects the resulting lift θ' . Note that the homotopy class $[\varphi'] = [\varphi]$ is then fixed, as is the actual map $u \circ \varphi' = s \circ t \circ \sigma : T \rightarrow Z$. To help keep track of all this, in this appendix φ will denote our initial choice of the map with the

induced lift θ , while φ' will denote some other choice, with induced lift θ' . We now investigate how changing φ to φ' changes θ to θ' , as maps $T \rightarrow W$:

Given φ , a choice of φ' such that $u \circ \varphi = u \circ \varphi'$ corresponds uniquely to a map into the pullback

$$(B.1) \quad \begin{array}{ccccc} T & \xrightarrow{\varphi'} & Y & \longrightarrow & Y \\ & \searrow \varphi & \downarrow u' & \lrcorner & \downarrow u \\ & & Y & \xrightarrow{u} & Z \end{array}$$

while a choice of such a map φ' equipped with a (right) homotopy $H : \varphi \sim^r \varphi'$ corresponds to a map into the pullback

$$(B.2) \quad \begin{array}{ccccc} T & \xrightarrow{H} & \overline{Y} \langle u \rangle & \longrightarrow & \text{Path}(Y) \\ & \searrow \varphi & \downarrow \overline{w}' & \lrcorner & \downarrow (1 \times u) \circ m \\ & & Y & \xrightarrow{1 \top u} & Y \times Z \end{array}$$

where $Y \xrightarrow{i_y} \text{Path}(Y) \xrightarrow{m} Y \times Y$ is a path factorization as in (A.17). In fact, taking a further pullback

$$(B.3) \quad \begin{array}{ccc} \overline{W} \langle p, u \rangle & \longrightarrow & \overline{Y} \langle u \rangle \\ \overline{p}' \downarrow & \lrcorner & \downarrow \overline{w}' \\ W & \xrightarrow{q} & Y \end{array}$$

we find that the image of the left vertical map \overline{p}' is essentially the indeterminacy (see Corollary B.10 below).

Note that there is a canonical choice of induced map $\psi : T \rightarrow Y \langle u \rangle$ in (B.1), corresponding to $\varphi' = \varphi$, and a similar canonical choice of induced map $\overline{\psi} : T \rightarrow \overline{Y} \langle u \rangle$ in (B.2), corresponding to the canonical self-homotopy H_φ of φ (namely, the composite $T \xrightarrow{\varphi} Y \xrightarrow{i_y} \text{Path}(Y)$), which will be used below.

Given a map $u : Y \rightarrow Z$, consider the following pullback grid:

$$(B.4) \quad \begin{array}{ccccccc} & \overline{Y} \langle u \rangle & \longrightarrow & \text{Path}(Y) & & & \\ & \downarrow \overline{w}' & \lrcorner & \downarrow m & & & \\ \overline{w}' \downarrow & Y \langle u \rangle & \longrightarrow & Y \times Y & \xrightarrow{\text{pr}_2} & Y & \\ & \downarrow u' & \lrcorner & \downarrow 1 \times u & \lrcorner & \downarrow u & \\ & Y & \xrightarrow{1 \top u} & Y \times Z & \xrightarrow{\text{pr}_2} & Z & \end{array}$$

B.5. Notation. Assume given four maps $u : Y \rightarrow Z$, $\varphi : T \rightarrow Y$, $v : B \rightarrow Y$, and $\rho : A \rightarrow Y$.

- (a) The pointed set $\{\varphi' : T \rightarrow Y \mid u \circ \varphi' = u \circ \varphi\}$, based at φ itself, will be denoted by $\text{Var}_u(\varphi)$.

- (b) The pointed set $\{H : T \rightarrow \text{Path}(Y) \mid H : \varphi \sim^r \overline{\varphi'}, u \circ \varphi' = u \circ \varphi\}$ of (right) homotopies, based at H_φ , will be denoted by $\overline{\text{Var}}_u(\varphi)$.
- (c) The set $\{\sigma : A \rightarrow B \mid v \circ \sigma = \rho\}$ of lifts of ρ with respect to v will be denoted by $\text{Lift}_v(\rho)$.

In accordance with Remark 3.2, we can disregard the distinction between the left homotopies appearing in the first half of Appendix A and the right homotopies we have here.

B.6. *Remark.* From the pullback properties of the constructions above we see that there are natural bijections of pointed sets $\text{Var}_u(\varphi) \cong \text{Lift}_{u'}(\varphi)$ and $\overline{\text{Var}}_u(\varphi) \cong \text{Lift}_{\overline{u'}}(\varphi)$, where $\text{Lift}_{u'}(\varphi)$ is based at ψ and $\text{Lift}_{\overline{u'}}(\varphi)$ is based at $\overline{\psi}$.

We then have:

B.7. **Lemma.** *Given $\varphi = q \circ \theta : T \rightarrow Y$ with $p \circ \theta = t \circ \sigma$, there is a natural bijection of sets $\overline{\text{Var}}_u(\varphi) \cong \text{Lift}_{\overline{p'}}(\theta)$, where $\overline{p}' := p' \circ \overline{p}$.*

Proof. We may expand (B.4) into:

(B.8)

Since the rightmost face is a pullback (by assumption), as are both the front and left long rectangular vertical faces (by construction), the lower leftmost face, and hence the upper leftmost face, are pullbacks, too. We define P^{rel} by making the upper rightmost face a pullback, so that the back upper vertical face is, too.

We think of $\varphi : T \rightarrow Y$ as mapping to the front lower left Y , and $\theta : T \rightarrow W$ to the back lower left W , with $\varphi' : T \rightarrow Y$ mapping to the front right Y , and $\theta' : T \rightarrow W$ to the back right W . Since $u \circ \varphi' = u \circ \varphi$, the lower pullback rectangle in (B.4) implies that (φ, φ') induce a map $F : T \rightarrow Y\langle u \rangle$ and thus $\widehat{F} : T \rightarrow W\langle p \rangle$. Since also $u \circ \varphi = s \circ p \circ \theta = s \circ t \circ \sigma$ and a right homotopy $H : \varphi \sim^r \varphi'$ is a map $H : T \rightarrow \text{Path}(Y)$ which, together with $\varphi \top \theta' : T \rightarrow Y \times W$, induces $\widehat{H} : T \rightarrow P^{\text{rel}}$, together with \widehat{F} , these induce a lift of θ along \overline{p}' . Conversely, any lift $\widehat{\theta} : T \rightarrow \overline{W}\langle p, u \rangle$ of θ along \overline{p}' yields \widehat{H} , and thus H , by projecting along the structure maps of the top pullback square. \square

B.9. *Remark.* When $Y \sim *$, we have $\text{Path}(Y) \xrightarrow{\sim} Y \times Y$, so $\overline{Y}\langle u \rangle \xrightarrow{\sim} Y\langle u \rangle \simeq \Omega Z$ and $\overline{W}\langle p, u \rangle \simeq W \times \Omega Z$. In this case a map $T \rightarrow \overline{W}\langle p, u \rangle$ thus corresponds up to

homotopy, to a choice of map θ , together with a homotopy class in $[T, \Omega Z]$ (adjoint to the indeterminacy construction of $[\mathrm{Sp}1, \S 1]$). Note that each of the vertical faces in (B.8) is a pullback over a fibration, so they are homotopy-meaningful.

The indeterminacy of our operations is then described by the following.

B.10. Corollary. *Given $\varphi = q \circ \theta : T \rightarrow Y$ (also satisfying $p \circ \theta = t \circ \sigma$) in (A.9), the indeterminacy in our operation produced by varying φ lies in the image of $\bar{p}''_{\#} : [T, \overline{W}\langle p, u \rangle] \rightarrow [T, W]$, where $\bar{p}'' = p'' \circ \bar{p}$.*

In fact, we can restrict to the fiber of $\bar{p}''_{\#}$ over $[\theta]$ (the subset consisting of those homotopy classes containing an element of $\mathrm{Lift}_{\bar{p}'}(\theta)$).

Proof. In (B.8) each choice of a lifting θ' of $\varphi' \sim \varphi$ has the form $p'' \circ \bar{p} \circ \rho$ for some $\rho : T \rightarrow \overline{W}\langle p, u \rangle$. Thus $\bar{p}''_{\#}[\rho] = [\theta']$, as required. By restricting to those ρ with $[\bar{p}' \circ \rho] = \bar{p}'_{\#}[\rho] = [\theta]$, we can apply Lemma A.2 to produce a different representative $[\rho'] = [\rho]$ with $\bar{p}' \circ \rho' = \theta$, producing the improved θ' . \square

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